

On Traces in Categories of Contractions

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In memory of Phil Scott, 1947–2023

Abstract

Traced monoidal categories model processes that can feed their outputs back to their own inputs, abstracting iteration. The category of finite dimensional Hilbert spaces with the direct sum tensor is not traced. But surprisingly, in 2014, Bartha showed that the monoidal subcategory of isometries is traced. The same holds for coisometries, unitary maps, and contractions. This suggests the possibility of feeding outputs of quantum processes back to their own inputs, analogous to iteration. In this paper, we show that Bartha’s result is not specifically tied to Hilbert spaces, but works in any dagger additive category with Moore-Penrose pseudoinverses (a natural dagger-categorical generalization of inverses).

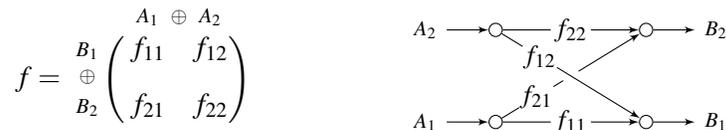
1 Introduction

A trace on a symmetric monoidal category (\mathbf{C}, \oplus) is an operation that assigns to each map $f: A \oplus X \rightarrow B \oplus X$ another map $\text{Tr}^X f: A \rightarrow B$, satisfying some well-known axioms [13, 29]. In string diagrams, traces are represented by looping an output of f back to the corresponding input, as in the following diagram.

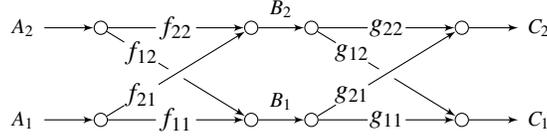


In categories of vector spaces, there are two relevant monoidal structures: the “multiplicative” tensor \otimes and the “additive” tensor \oplus , also known as biproduct or direct sum. The multiplicative tensor on finite dimensional vector spaces has a well-known trace (induced by the compact closed structure). But in this paper, we are interested in the additive tensor.

Linear maps between direct sums amount to block matrices: to specify a linear map $f: A_1 \oplus \cdots \oplus A_n \rightarrow B_1 \oplus \cdots \oplus B_m$ is to specify all of the components $f_{ji}: A_i \rightarrow B_j$. We may organize this data either in a rectangular array as usual or in a string diagram (see Selinger [29]), which will be illustrative.

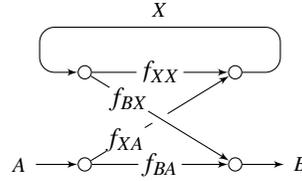


Composition, i.e., matrix multiplication, is given by summing over all paths from each input to each output.



$$g \circ f = \begin{matrix} & A_1 & \oplus & A_2 \\ C_1 & \left(g_{11} \circ f_{11} + g_{12} \circ f_{21} & & g_{11} \circ f_{12} + g_{12} \circ f_{22} \right) \\ \oplus & & & \\ C_2 & \left(g_{21} \circ f_{11} + g_{22} \circ f_{21} & & g_{21} \circ f_{12} + g_{22} \circ f_{22} \right) \end{matrix}$$

Hence a natural way to try to define an additive trace on a category of vector spaces is by the following sum-over-paths formula, motivated by the accompanying string diagram.



$$\mathrm{Tr}^X f = f_{BA} + f_{BX} \circ f_{XA} + f_{BX} \circ f_{XX} \circ f_{XA} + f_{BX} \circ (f_{XX})^2 \circ f_{XA} + \dots$$

That is, we sum over all paths from the exposed input to the exposed output, as usual. However, the sum may not converge (supposing there is even any notion of convergence), and so the formula does not define a total operation. Indeed, there is no totally defined trace with respect to \oplus on any category of finite (or infinite) dimensional vector spaces [12].

Therefore, it came as a surprise when Bartha [3] showed that the category of finite dimensional Hilbert spaces and *isometries* has a well-defined additive trace. In particular, not only does Bartha's trace of an isometry always exist, but it is again an isometry. By duality, Bartha's trace also works for coisometries, and therefore also for unitary maps. Moreover, Andrés-Martínez pointed out that Bartha's trace further generalizes to all contractions [1]. These results suggest that there might be some physical interpretation of loops in quantum systems, but we do not know what it is.

In this paper, we show that Bartha's result is not specifically tied to Hilbert spaces, but works in any dagger additive category with suitable additional structure. The specific additional structure that we need to assume is the existence of Moore-Penrose pseudoinverses.

In a nutshell, a pseudoinverse of an arrow $f : A \rightarrow B$ is an arrow $f^\circ : B \rightarrow A$ such that both $f \circ f^\circ$ and $f^\circ \circ f$ are self-adjoint and $f \circ f^\circ \circ f = f$ and $f^\circ \circ f \circ f^\circ = f^\circ$. Pseudoinverses are unique when they exist, and they generalize inverses. Moreover, the definition of pseudoinverse is purely algebraic and makes sense in any dagger category [6].

The reader may be wondering why pseudoinverses should appear in this context. Disregarding convergence issues, the sum-over-paths formula above is calculated by way of a geometric series:

$$\mathrm{Tr}^X f = f_{BA} + f_{BX} \circ \left(\sum_{i=0}^{\infty} f_{XX}^i \right) \circ f_{XA} = f_{BA} + f_{BX} \circ (1_X - f_{XX})^{-1} \circ f_{XA}.$$

Bartha's trace can be defined by simply changing the inverse in this formula, which may or may not exist, to a pseudoinverse. See Section 6 for more details.

Our main result is the following:

Theorem 1. *Given any dagger additive category with pseudoinverses, there is a totally defined trace on each of the following monoidal subcategories:*

- *the unitaries,*
- *the isometries,*
- *the coisometries, and*
- *the contractions.*

Moreover, in the cases of unitaries and contractions, which are dagger monoidal subcategories, the trace is a dagger trace.

After reviewing some background material in Section 2, we introduce contractions in Section 3 and pseudoinverses in Section 4, and prove some of their required properties. Section 5 is devoted to the proof of the main theorem.

The remaining sections contain additional observations that are not required for our main result, but are of independent interest. In Section 6, we discuss further properties of Bartha’s trace formula. In Section 7, we muse about the possibility of physical interpretations. Section 8 contains more results about pseudoinverses in dagger categories. Finally, in Section 9, we have collected various counterexamples.

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2 Background

2.1 Dagger categories

We recall some basic definitions and properties of dagger categories to fix the notation for the rest of the paper. For a more detailed treatment, see Selinger [27], Heunen and Vicary [11], Karvonen [14].

Definition 2.1 (Dagger category). A *dagger category* is a category equipped with an identity-on-objects involutive contravariant functor, denoted $(-)^{\dagger}$. In other words, for $f: A \rightarrow B$, we have $f^{\dagger}: B \rightarrow A$, and we have the following properties:

- $f^{\dagger\dagger} = f$,
- $(1_A)^{\dagger} = 1_A$, and
- $(g \circ f)^{\dagger} = f^{\dagger} \circ g^{\dagger}$.

For example, the category **Hilb** of Hilbert spaces and bounded linear maps is a dagger category. Its full subcategory **FdHilb** of finite dimensional Hilbert spaces is also a dagger category.

Definition 2.2 (Properties of arrows). An arrow $f: A \rightarrow B$ in a dagger category is called an *isometry* if $f^{\dagger} \circ f = 1_A$, a *coisometry* if $f \circ f^{\dagger} = 1_B$, and *unitary* if it is an isometry and a coisometry. Equivalently, f is unitary if it is invertible and $f^{-1} = f^{\dagger}$. An arrow $f: A \rightarrow A$ is *self-adjoint* (or *hermitian*) if $f = f^{\dagger}$.

In this paper, we use the symbol \oplus to denote the monoidal product, because we are mainly interested in monoidal structures that are induced by biproducts.

Definition 2.3 (Dagger monoidal category). A *dagger monoidal category* is a dagger category that is also monoidal, such that $(-)^{\dagger}$ is a strict monoidal functor. More explicitly, this means that the monoidal structure isomorphisms (i.e., associators and unitors) are unitary, and for all arrows f and g , we have

$$(f \oplus g)^{\dagger} = f^{\dagger} \oplus g^{\dagger}.$$

In a dagger (monoidal) category, the isometries, coisometries, and unitary maps each form a (monoidal) subcategory, i.e., they are closed under compositions (and monoidal products).

Definition 2.4 (Dagger finite biproduct category). A *dagger finite biproduct category* is a dagger category that also has finite biproducts such that the projection maps $\pi_i: A_1 \oplus A_2 \rightarrow A_i$ and the inclusion maps $\iota_i: A_i \rightarrow A_1 \oplus A_2$ satisfy $\pi_i = \iota_i^{\dagger}$.

As usual in any category with finite biproducts, there is a zero object 0 , and we can define the addition of arrows $f, g: A \rightarrow B$ in the usual way by $f + g = A \rightarrow A \oplus A \xrightarrow{f \oplus g} B \oplus B \rightarrow B$. There are also zero maps $0: A \rightarrow 0 \rightarrow B$. Indeed, every finite biproduct category is enriched over commutative monoids. In the case of a dagger finite biproduct category, the dagger respects the commutative monoid structure. Not all categories enriched in commutative monoids have finite biproducts, and occasionally we will prefer not to assume existence of biproducts in order to state results in the appropriate generality.

Definition 2.5 (Finite addition category). A *finite addition category* (or *rigoid*) is a category enriched in commutative monoids. Explicitly, a finite addition category is a category where every hom-set is equipped with the structure of a commutative monoid, subject to the distributive laws

$$g \circ (f_1 + f_2) = g \circ f_1 + g \circ f_2, \quad (g_1 + g_2) \circ f = g_1 \circ f + g_2 \circ f, \quad \text{and} \quad 0 \circ f = 0 = f \circ 0$$

for all such arrows with appropriate domain and codomain.

A *dagger finite addition category* is a category that is both a dagger category and a finite addition category such that for all arrows $f: A \rightarrow B$ and $g: A \rightarrow B$ we have

$$(f + g)^{\dagger} = f^{\dagger} + g^{\dagger}.$$

(Note $0^{\dagger} = 0$ by functoriality regardless.)

Most important to us is the case where we also have subtraction.

Definition 2.6 (Negatives, additive category). We say that a finite addition category *has negatives* if for every $f: A \rightarrow B$, there exists $-f: A \rightarrow B$ such that $f + (-f) = 0$. Note that this is the same as being enriched in abelian groups. A (dagger) finite biproduct category with negatives is called a (dagger) *additive category*.

We will also need the concept of positive map.

Definition 2.7 (Positive map). A map $a: A \rightarrow A$ in a dagger category is *positive* if there exists $f: A \rightarrow B$ with $a = f^{\dagger} \circ f$.

Positive maps in **Hilb** are positive operators in the usual sense. Every positive map is self-adjoint, and the sum of positive maps is positive if we have dagger biproducts. Given two maps $f, g: A \rightarrow A$ in a dagger finite biproduct category, we say that $f \leq g$ if there exists some positive a such that $g = f + a$. The dagger biproducts ensure that \leq is a partial order.

where f_{ji} is the canonical arrow

$$A_i \rightarrow (A_1 \oplus \cdots \oplus A_m) \xrightarrow{f} (B_1 \oplus \cdots \oplus B_n) \rightarrow B_j.$$

We also frequently abuse notation and write $f_{B_j A_i}$ to mean f_{ji} . Given a matrix

$$f = \begin{pmatrix} f_{11} & f_{12} \\ f_{21} & f_{22} \end{pmatrix} : A_1 \oplus A_2 \rightarrow B_1 \oplus B_2,$$

we call each $f_{ji} : A_i \rightarrow B_j$ a *component* of f , we call $\begin{pmatrix} f_{1i} \\ f_{2i} \end{pmatrix} : A_i \rightarrow B_1 \oplus B_2$ a *column* of f , and we call $(f_{j1} \ f_{j2}) : A_1 \oplus A_2 \rightarrow B_j$ a *row* of f . We use analogous terminology for larger matrices.

Remark 2.10. In a dagger finite biproduct category, an arrow of the form

$$B \begin{pmatrix} A_1 \oplus \cdots \oplus A_m \\ f_1 \quad \cdots \quad f_m \end{pmatrix}$$

is an isometry if and only if $f_i^\dagger \circ f_i = 1_{A_i}$ for all i and $f_j^\dagger \circ f_i = 0$ for $i \neq j$.

Remark 2.11. In a dagger additive category, every isometry is a component of a unitary. Indeed, suppose $f : A \rightarrow B$ is an isometry. Then the following arrow is unitary.

$$\begin{matrix} & A & \oplus & B \\ \begin{matrix} A \\ \oplus \\ B \end{matrix} & \left(\begin{array}{cc} \mathbf{0} & f^\dagger \\ f & 1_B - f \circ f^\dagger \end{array} \right) \end{matrix}$$

2.3 Dagger idempotents

Definition 2.12 (Dagger idempotents). A arrow $p : A \rightarrow A$ is called a *dagger idempotent* (or *projection*) if $p = p \circ p = p^\dagger$.

Whenever $f : B \rightarrow A$ is an isometry, then $p = f \circ f^\dagger$ is a dagger idempotent. If p is of this form, we say that p is *dagger split*. When dagger splittings exist, they are unique up to unitary isomorphism. Moreover, unlike ordinary idempotents, dagger idempotents are uniquely determined by their image (see Selinger [28]).

The idempotent completion of a category is a staple of ordinary category theory; the dagger idempotent completion, from Selinger [28], is the analogue for dagger categories.

Definition 2.13 (Dagger idempotent completion). A *morphism of idempotents* from an idempotent $p : A \rightarrow A$ to an idempotent $q : B \rightarrow B$ in a category \mathbf{C} is an arrow $f : A \rightarrow B$ in \mathbf{C} such that $f = q \circ f \circ p$ (equivalently, $f \circ p = f = q \circ f$).

The category consisting of idempotents in \mathbf{C} and morphisms of idempotents between them is called the *idempotent completion* (or *Karoubi envelope* or *Cauchy completion*) of \mathbf{C} , denoted $\mathbf{Split}(\mathbf{C})$. Note this is indeed a category, with $p : p \rightarrow p$ acting as 1_p .

When \mathbf{C} is moreover a dagger category, the full subcategory of $\mathbf{Split}(\mathbf{C})$ spanned by dagger idempotents is called the *dagger idempotent completion* (or the *dagger Karoubi envelope*) of \mathbf{C} , denoted $\mathbf{Split}_\dagger(\mathbf{C})$. Note this is indeed a dagger category, with the dagger taken as in \mathbf{C} .

Moreover, all structure of interest (e.g., monoidal structure, biproducts, addition, negatives, and, as we will later introduce, pseudoinverses [6]) on a dagger category transports to its dagger idempotent completion.

Next, we discuss the relationship between idempotents and additive structure.

Definition 2.14 (Complementary idempotents). Two idempotents $p, q: A \rightarrow A$ in a finite addition category are *complementary* if $p + q = 1_A$ and $q \circ p = 0 = p \circ q$.

If the category has negatives, complements always exist, because whenever p is an idempotent, so is $1 - p$. It is obvious that the complement is unique in that case. Interestingly, uniqueness even holds without assuming negatives.

Lemma 2.15 (Uniqueness of complementary idempotent). *Let $p: A \rightarrow A$ be an idempotent in a finite addition category. If each of $q_1, q_2: A \rightarrow A$ is a complementary idempotent of p , then $q_1 = q_2$.*

Proof. We have

$$q_1 = (p + q_2) \circ q_1 = q_2 \circ q_1 = q_2 \circ (p + q_1) = q_2. \quad \square$$

Lemma 2.16 (Complementary dagger idempotents). *Let $p, q: A \rightarrow A$ be complementary idempotents in a dagger finite addition category. Then p is a dagger idempotent if and only if q is.*

Proof. Suppose p is a dagger idempotent. Then

$$p + q^\dagger = (p + q)^\dagger = 1_A$$

and

$$q^\dagger \circ p = (p \circ q)^\dagger = 0 = (q \circ p)^\dagger = p^\dagger \circ q.$$

That is, p and q^\dagger are complementary. Hence $q = q^\dagger$ by Lemma 2.15. \square

Complementary dagger idempotents are an algebraic abstraction of orthogonal complement subspace projections.

Lemma 2.17 (Direct sum decomposition). *Consider a (dagger) finite addition category in which all (dagger) idempotents (dagger) split. Given an object A with complementary idempotents $p, q: A \rightarrow A$, there exist objects A_1, A_2 with $A = A_1 \oplus A_2$ such that*

$$p = \begin{matrix} & A_1 \oplus A_2 \\ A_1 & \oplus \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \\ A_2 & \end{matrix} \quad \text{and} \quad q = \begin{matrix} & A_1 \oplus A_2 \\ A_1 & \oplus \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \\ A_2 & \end{matrix}.$$

Moreover, the factorization is unique up to (unitary) isomorphisms of the direct sum factors.

Proof idea. Let A_1 be a splitting of p , and let A_2 be a splitting of q . The claimed properties are easy to verify. \square

Remark 2.18. In Lemma 2.17 and elsewhere, we write $A = A_1 \oplus A_2$ instead of $A \cong A_1 \oplus A_2$; this is justified because (dagger) biproducts are defined up to (unitary) isomorphism in the first place.

- **Naturality:** For all $f: A \oplus X \rightarrow B \oplus X$, $g: A' \rightarrow A$, and $h: B \rightarrow B'$,

$$h \circ \text{Tr}^X(f) \circ g \rightleftharpoons \text{Tr}^X((h \oplus 1_X) \circ f \circ (g \oplus 1_X)).$$
- **Dinaturality:** For all $f: A \oplus X \rightarrow B \oplus Y$ and $g: Y \rightarrow X$,

$$\text{Tr}^X((1_B \oplus g) \circ f) \rightleftharpoons \text{Tr}^Y(f \circ (1_A \oplus g)).$$
- **Strength:** For all $f: A \oplus X \rightarrow B \oplus X$ and $g: C \rightarrow D$,

$$g \oplus \text{Tr}^X(f) \rightleftharpoons \text{Tr}^X(g \oplus f).$$
- **Vanishing I:** For all $f: A \oplus I \rightarrow B \oplus I$,

$$\text{Tr}^I(f) \rightleftharpoons f.$$
- **Vanishing II:** For all $f: A \oplus X \oplus Y \rightarrow B \oplus X \oplus Y$:
 If $\text{Tr}^Y(f)$ is defined, then $\text{Tr}^{X \oplus Y}(f) \rightleftharpoons \text{Tr}^X(\text{Tr}^Y(f)).$
- **Yanking:** For all A ,

$$\text{Tr}^A(\sigma_{A,A}) \rightleftharpoons 1_A,$$
 where $\sigma_{A,A}: A \oplus A \rightarrow A \oplus A$ is the symmetry.

Figure 1: Axioms for a partially traced category. Here, we write $x \rightleftharpoons y$ for *directed Kleene equality*, i.e.: if x is defined, then so is y and they are equal. Similarly, $x \rightleftharpoons y$ means x and y are either both undefined, or both defined and equal. The axioms for a total trace are obtained by replacing the symbols \rightleftharpoons and \rightleftharpoons by equality.

2.4 Trace

Recall that a *trace* on a symmetric monoidal category \mathbf{C} is a family of operations $\text{Tr}^X: \mathbf{C}(A \oplus X, B \oplus X) \rightarrow \mathbf{C}(A, B)$, subject to a small number of axioms [13, 17, 29]. The concept of a *partial trace* is defined similarly, except that Tr^X is a partially defined operation [9]. The axioms are shown in Fig. 1. Note that a total trace is just a partial trace that happens to be totally defined. It was shown by Malherbe [16] and Malherbe et al. [17] that every partially traced category can be faithfully embedded in a totally traced one, and conversely, every monoidal subcategory of a totally traced category is partially traced.

Remarkably, the sum-over-paths formula described in the introduction, $\text{Tr}^X f = f_{BA} + f_{BX} \circ (1_X - f_{XX})^{-1} \circ f_{XA}$, gives a partial trace on any additive category [9, 12]. More relevant to us is the following partial trace from Malherbe et al. [17], which agrees with the sum-over-paths formula when $(1_X - f_{XX})^{-1}$ exists, but which is also defined for more arrows.

Definition 2.19 (Kernel-image trace). Let $f: A \oplus X \rightarrow B \oplus X$ be an arrow in an additive category. The *kernel-image trace* $\text{Tr}_{\text{ki}}^X f: A \rightarrow B$ is defined if there exist arrows $i: A \rightarrow X$ and $k: X \rightarrow B$ such that

$$f_{XA} = (1_X - f_{XX}) \circ i \quad \text{and} \quad k \circ (1_X - f_{XX}) = f_{BX},$$

as in the following commutative diagram

$$\begin{array}{ccc}
 A & \overset{i}{\dashrightarrow} & X \\
 \searrow f_{XA} & & \swarrow f_{BX} \\
 & 1_X - f_{XX} & \\
 & \swarrow & \searrow \\
 & X & \overset{k}{\dashrightarrow} B
 \end{array}$$

In this case, we define

$$\mathrm{Tr}_{\mathrm{ki}}^X f = f_{BA} + k \circ (1_X - f_{XX}) \circ i.$$

(Otherwise, the kernel-image trace is undefined.) Note $\mathrm{Tr}_{\mathrm{ki}}^X$ is independent of the choice of each i and k , since

$$f_{BA} + f_{BX} \circ i = \mathrm{Tr}_{\mathrm{ki}}^X f = f_{BA} + k \circ f_{XA}.$$

Proposition 2.20 (17). *The kernel-image trace is a partial trace.*

Remark 2.21. In a dagger category, a (partial) trace is called a *dagger (partial) trace* if $\mathrm{Tr}(f^\dagger) = (\mathrm{Tr} f)^\dagger$. In a dagger additive category, the kernel-image trace is always a dagger partial trace, because its definition is self-dual.

The kernel-image trace (Definition 2.19) is quite central in this paper: we will prove Theorem 1 by showing that the kernel-image trace is totally defined, and hence gives a total trace on the desired subcategories.

3 Contractions

3.1 Basic properties

In the category of Hilbert spaces, a *contraction* is a map $f: A \rightarrow B$ such that for all $v \in A$, $\|f(v)\| \leq \|v\|$. The following definition generalizes this concept to arbitrary dagger additive categories.

Definition 3.1 (Contraction). A *contraction* in a dagger additive category is an arrow $f: A \rightarrow B$ such that $f^\dagger \circ f \leq 1_A$. In other words, such that there exists an arrow $g: A \rightarrow B'$ with $f^\dagger \circ f + g^\dagger \circ g = 1_A$. Note that this is the case if and only if the map $\begin{pmatrix} f \\ g \end{pmatrix}: A \rightarrow B \oplus B'$ is an isometry. A *cocontraction* is defined dually.

In particular, every isometry, coisometry, and unitary map is a contraction. Also, biproduct projections and injections are contractions.

Note that Definition 3.1 could be stated in a dagger finite addition category even without assuming negatives or biproducts, but many of the useful properties of contractions rely on the full dagger additive structure. A point in case is the next proposition, which gives several alternative characterizations of contractions, none of which would be equivalent in the absence of negatives (see counterexamples 9.18 and 9.19).

Proposition 3.2 (Characterizations of contractions). *Let $f: A \rightarrow B$ be an arrow in a dagger additive category. The following are equivalent.*

- (a) f is a component of a unitary.

- (b) f is a contraction.
- (c) f is a cocontraction.
- (d) f is of the form $e \circ m$ for some isometry $m: A \rightarrow X$ and coisometry $e: X \rightarrow B$.
- (e) f is a composition of isometries and coisometries.

We delay the proof until we have established some lemmas. The following lemma tells us that contractions, like isometries, form a monoidal subcategory.

Lemma 3.3. *Contractions are closed under composition and monoidal products.*

Proof. For composition, let $f: A \rightarrow B$ and $g: B \rightarrow C$ be contractions. Then $f^\dagger \circ f \leq 1_A$ and $g^\dagger \circ g \leq 1_B$. Using Lemma 2.8(a), we get

$$(g \circ f)^\dagger \circ (g \circ f) = f^\dagger \circ g^\dagger \circ g \circ f \leq f^\dagger \circ 1_B \circ f = f^\dagger \circ f \leq 1_A.$$

Therefore, $f \circ g$ is a contraction. For monoidal products, let $f: A \rightarrow B$ and $g: A' \rightarrow B'$ be contractions. Using Lemma 2.8(b), we get

$$(f \oplus g)^\dagger \circ (f \oplus g) = (f^\dagger \circ f) \oplus (g^\dagger \circ g) \leq 1_A \oplus 1_{A'} = 1_{A \oplus A'}.$$

Therefore, $f \oplus g$ is a contraction. □

Lemma 3.4 (Contractions as components of unitaries). *In a dagger additive category, contractions are precisely the components of unitaries. In particular, contractions coincide with cocontractions.*

Proof. First, a component of a unitary is a composition of three contractions $u_{jk} = \pi_j \circ u \circ \iota_k$, and is therefore a contraction itself. Conversely, every contraction is a component of an isometry (as remarked in Definition 3.1), which in turn is a component of a unitary by Remark 2.11. Finally, since being a component of a unitary is a self-dual concept, so is being a contraction. □

We can now prove Proposition 3.2.

Proof of Proposition 3.2. The equivalence (a) \iff (b) \iff (c) is Lemma 3.4. For (b) \implies (d), assume $f^\dagger \circ f + g^\dagger \circ g = 1$. Then $f = e \circ m$, where $e = (1 \ 0)$ is a coisometry and $m = \begin{pmatrix} f \\ g \end{pmatrix}$ is an isometry. The implication (d) \implies (e) is trivial, and (e) \implies (b) follows because contractions are closed under composition by Lemma 3.3. □

3.2 Contractions and definiteness

Contractions have even better properties when the underlying dagger category satisfies the following condition.

Definition 3.5 (Definite). A dagger category with a zero object is *definite* if for all arrows f , we have that $f^\dagger \circ f = 0$ implies $f = 0$.

In the familiar context of Hilbert spaces, the columns or rows of a contraction have norm at most 1. An analogue of this principle holds in any definite dagger additive category.

Lemma 3.6 (Maxed-out column). *In a definite dagger additive category, assume $f = \begin{pmatrix} f_1 \\ f_2 \end{pmatrix}$ is a contraction. If f_1 is an isometry, then $f_2 = 0$.*

Proof. It suffices to show the result when f is an isometry, because every contraction is a row of an isometry. We have $1_A = f^\dagger \circ f = f_1^\dagger \circ f_1 + f_2^\dagger \circ f_2 = 1_A + f_2^\dagger \circ f_2$. Subtracting 1_A from both sides, we get $0 = f_2^\dagger \circ f_2$. Now by definiteness, $f_2 = 0$. \square

Corollary 3.7 (Maxed-out row and column). *In a definite dagger additive category, assume*

$$f = \begin{pmatrix} 1 & f_{12} \\ f_{21} & f_{22} \end{pmatrix}$$

is a contraction. Then $f_{12} = 0$ and $f_{21} = 0$.

Proof. $f_{12} = 0$ follows from Lemma 3.6 and $f_{21} = 0$ follows from its dual. \square

The first part of the following is basically Corollary 3.7 in more algebraic language. The second part amounts to the observation that the fixed points of a contraction f are also fixed by f^\dagger .

Corollary 3.8 (Fixed points of contraction). *Suppose $f: A \rightarrow A$ is a contraction and $p: A \rightarrow A$ is a dagger idempotent in a definite dagger additive category.*

(a) *If $p \circ f \circ p = p$, then $f \circ p = p = p \circ f$.*

(b) *$f \circ p = p$ if and only if $p \circ f = p$.*

Proof. Without loss of generality, we can assume all dagger idempotents split, because otherwise we can pass to the dagger idempotent completion. Let $A = A_1 \oplus A_2$ be the decomposition of A obtained by splitting p and its complement as in Lemma 2.17. Write

$$f = \begin{matrix} & A_1 \oplus A_2 \\ A_1 & \begin{pmatrix} f_{11} & f_{12} \\ f_{21} & f_{22} \end{pmatrix} \\ A_2 & \end{matrix}$$

To prove (a), note that $p \circ f \circ p = p$ means that $f_{11} = 1$, which by Corollary 3.7 implies that $f_{12} = 0$ and $f_{21} = 0$, hence $f \circ p = p = p \circ f$. Claim (b) follows from (a). \square

4 Pseudoinverses

4.1 Definition of pseudoinverse

Every linear map $f: V \rightarrow W$ between finite dimensional Hilbert spaces is of the form

$$f = \begin{matrix} & (\ker f)^\perp \oplus \ker f \\ \text{im } f & \begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix} \\ (\text{im } f)^\perp & \end{matrix}$$

where a is invertible. This section is about dagger additive categories in which an analogous fact holds. Observe that, given the above decomposition of $f: V \rightarrow W$, we automatically get a map $f^\circ: W \rightarrow V$ in the other direction via

$$f^\circ = \begin{matrix} & \text{im } f \oplus (\text{im } f)^\perp \\ (\ker f)^\perp & \begin{pmatrix} a^{-1} & 0 \\ 0 & 0 \end{pmatrix} \\ \ker f & \end{matrix}$$

We note that this “almost inverse” f° of f satisfies the following four properties:

$$f = f \circ f^\circ \circ f, \quad f^\circ = f^\circ \circ f \circ f^\circ, \quad f^\circ \circ f = (f^\circ \circ f)^\dagger, \quad f \circ f^\circ = (f \circ f^\circ)^\dagger. \quad (1)$$

It so happens that these four laws uniquely determine f° given f .

Definition 4.1 (Pseudoinverse). In a dagger category, a *pseudoinverse* (or *Moore-Penrose pseudoinverse*) of a map $f: A \rightarrow B$ is an arrow $f^\circ: B \rightarrow A$ such that the equations (1) hold. A *pseudoinverse dagger category* (in Cockett and Lemay [6], *Moore-Penrose dagger category*) is a dagger category in which every arrow has a pseudoinverse.

Before we prove uniqueness, here is a bit of background on pseudoinverses. They were introduced by Moore [18] and rediscovered by Penrose [20]. For an overview, see Ben-Israel [4] or Baksalary and Trenkler [2]. Pseudoinverses were studied in abstract dagger categories by Puystjens and Robinson [21, 22, 23, 24, 25] and recently by Cockett and Lemay [6].

Example 4.2. In **Hilb**, an arrow $f: A \rightarrow B$ is pseudoinvertible if and only if the image of f is closed. In **FdHilb**, every arrow is pseudoinvertible.

We note the following equivalent characterization of pseudoinverses; it will simplify the proof of uniqueness in Proposition 4.4 below.

Lemma 4.3 (Second definition of pseudoinverse). *Pseudoinverses f and f° in a dagger category are equivalently characterized by the equations*

$$f = f^{\circ\dagger} \circ f^\dagger \circ f, \quad f = f \circ f^\dagger \circ f^{\circ\dagger}, \quad f^\circ = f^\dagger \circ f^{\circ\dagger} \circ f^\circ, \quad f^\circ = f^\circ \circ f^{\circ\dagger} \circ f^\dagger. \quad (2)$$

Proof. From (2), we derive

$$f \circ f^\circ = f^{\circ\dagger} \circ f^\dagger \circ f \circ f^\circ = f^{\circ\dagger} \circ f^\dagger \quad \text{and} \quad f^\circ \circ f = f^\dagger \circ f^{\circ\dagger} \circ f^\circ \circ f = f^\dagger \circ f^{\circ\dagger},$$

i.e., $f \circ f^\circ = (f \circ f^\circ)^\dagger$ and $f^\circ \circ f = (f^\circ \circ f)^\dagger$. Hence the two definitions are equivalent as f and f° are permitted to slide past each other, picking up daggers. \square

Proposition 4.4 (Uniqueness of pseudoinverse). *If f° and f^\bullet are both pseudoinverses of f , then $f^\circ = f^\bullet$.*

Proof. $f^\circ = f^\dagger \circ f^{\circ\dagger} \circ f^\circ = f^\bullet \circ f \circ f^\dagger \circ f^{\circ\dagger} \circ f^\circ = f^\bullet \circ f \circ f^\circ$. Symmetrically, $f^\bullet = f^\bullet \circ f \circ f^\circ$. \square

Note that the notion of pseudoinverse is self-dual and therefore respected by dagger: if $f: A \rightarrow B$ is pseudoinvertible, then so is f^\dagger with $(f^\dagger)^\circ = (f^\circ)^\dagger$. Also note that if f is pseudoinvertible, then $f \circ f^\circ$ and $f^\circ \circ f$ are dagger idempotents. More specifically, $f \circ f^\circ$ represents projection onto the image of f , and $f^\circ \circ f$ represents projection onto the coimage of f (i.e., the orthogonal complement of the kernel). We hence obtain the following decomposition, which is analogous to what happens in **FdHilb**.

Proposition 4.5 (Generalized singular value decomposition [6]). *Let $f: A \rightarrow B$ be an arrow in a dagger additive category in which all dagger idempotents split. Then f is pseudoinvertible if and only if we can write $A = A_1 \oplus A_2$ and $B = B_1 \oplus B_2$ such that*

$$f = \begin{array}{c} A_1 \oplus A_2 \\ \oplus \\ \begin{array}{cc} B_1 & B_2 \\ \left(\begin{array}{cc} a & 0 \\ 0 & 0 \end{array} \right) \end{array} \end{array} \quad \text{and} \quad f^\circ = \begin{array}{c} B_1 \oplus B_2 \\ \oplus \\ \begin{array}{cc} A_1 & A_2 \\ \left(\begin{array}{cc} a^{-1} & 0 \\ 0 & 0 \end{array} \right) \end{array} \end{array},$$

where $a: A_1 \rightarrow B_1$ is invertible. Moreover, the factorization of f is unique up to unitary isomorphisms of the direct sum factors.

Proof. Clearly, if f can be written in the stated form, then f is pseudoinvertible with pseudoinverse as stated. For the left-to-right implication, assume f is pseudoinvertible. Consider the dagger idempotents $f^\circ \circ f: A \rightarrow A$ and $f \circ f^\circ: B \rightarrow B$. By splitting them and their complements as in Lemma 2.17, we can write $A = A_1 \oplus A_2$ and $B = B_1 \oplus B_2$, where $f^\circ \circ f = \iota_1^A \circ \pi_1^A$ and $f \circ f^\circ = \iota_1^B \circ \pi_1^B$. Let $a = \pi_1^B \circ f \circ \iota_1^A: A_1 \rightarrow B_1$. Then $f = f \circ f^\circ \circ f \circ f^\circ \circ f = \iota_1^B \circ \pi_1^B \circ f \circ \iota_1^A \circ \pi_1^A = \iota_1^B \circ a \circ \pi_1^A$, hence f is of the claimed form. Moreover, it is easy to verify that $a^{-1} = \pi_1^A \circ f^\circ \circ \iota_1^B$. Uniqueness is as in Lemma 2.17. \square

4.2 EP maps

The generalized singular value decomposition of Proposition 4.5 is especially nice if f is a so-called EP-map, which we now define. This definition captures the notion of an endomorphism whose kernel and image are orthogonal complements.

Definition 4.6 (EP maps). An EP map (or range hermitian map) in a dagger category is a pseudoinvertible endomorphism $f: A \rightarrow A$ such that $f^\circ \circ f = f \circ f^\circ$.

The term ‘‘EP’’ was introduced by Schwerdtfeger [26], who does not explain what these letters stand for. Given that $f^\circ \circ f$ and $f \circ f^\circ$ are projections that are equal to each other, a useful mnemonic is that EP stands for ‘‘equal projections’’.

Remark 4.7 (Normal operators are EP). If f is pseudoinvertible and $f^\dagger \circ f = f \circ f^\dagger$, then f is EP:

$$f^\circ \circ f = f^\dagger \circ f^{\circ\dagger} = f^\dagger \circ f \circ f^\circ \circ f^{\circ\dagger} = f^\dagger \circ f \circ (f^\dagger \circ f)^\circ = f \circ f^\dagger \circ (f \circ f^\dagger)^\circ = f \circ f^\dagger \circ f^{\circ\dagger} \circ f^\circ = f \circ f^\circ.$$

The following proposition characterizes EP maps in the style of Proposition 4.5.

Proposition 4.8. Let $f: A \rightarrow A$ be an arrow in a dagger additive category in which all dagger idempotents split. Then f is EP if and only if we can write $A = A_1 \oplus A_2$ such that

$$f = \begin{matrix} & A_1 \oplus A_2 \\ A_1 & \left(\begin{array}{cc} a & 0 \\ 0 & 0 \end{array} \right) \\ A_2 & \end{matrix},$$

where $a: A_1 \rightarrow A_1$ is invertible.

Proof. Like the proof of Proposition 4.5, but using the fact that the idempotents $f \circ f^\circ$ and $f^\circ \circ f$ are equal and therefore have the same splitting. \square

Before we say more about EP maps, we need the following lemma.

Lemma 4.9. In a dagger category with a zero object, if $f: A \rightarrow B$ is pseudoinvertible and $f^\dagger \circ f = 0$, then $f = 0$. In particular, every pseudoinverse dagger category with a zero object is definite.

Proof. Using (2) from Lemma 4.3, we have $f = f^{\circ\dagger} \circ f^\dagger \circ f = 0$. \square

We saw in Corollary 3.8 that the fixed points of a contraction f are also fixed by f^\dagger . The following lemma is the same fact in different language: $g = 1 - f$ being EP means that $1 - g^\circ \circ g$ (the projection onto the fixed points of f) is equal to $1 - g \circ g^\circ$ (the projection onto the fixed points of f^\dagger).

Lemma 4.10 (Contractions and EP maps). *Let $f: A \rightarrow A$ be a contraction in a pseudoinverse dagger additive category. Then $g = 1_A - f$ is EP.*

Proof. Observe that $(1_A - g) \circ (1_A - g^\circ \circ g) = 1_A - g^\circ \circ g$. By Lemma 4.9, the category is definite, and thus we can apply Corollary 3.8 to obtain $(1_A - g^\circ \circ g) \circ (1_A - g) = 1_A - g^\circ \circ g$. Simplifying, we get $g^\circ \circ g \circ g = g$. Similarly, $g \circ g \circ g^\circ = g$, hence $g^\circ \circ g = g^\circ \circ g \circ g \circ g^\circ = g \circ g^\circ$, as claimed. \square

5 Proof of the main result

The purpose of this section is to prove Theorem 1. That is, in a pseudoinverse dagger additive category, the monoidal subcategories of unitaries, isometries, coisometries, and contractions are traced. The proof in the case of isometries proceeds in two steps: In Lemma 5.1, we show that the kernel-image trace of a contraction (and therefore, of an isometry) is always defined. This is the only part of the proof that uses pseudoinverses. In Lemma 5.2, we show that the kernel-image trace of an isometry is again an isometry. These two facts imply that the kernel-image trace is totally defined on the category of isometries. Since it is already known to be a partial trace, these facts are sufficient to prove that the category of isometries is totally traced. The case of contractions is proved similarly, and the other cases are easy consequences.

Lemma 5.1 (Trace is defined for contractions). *In a pseudoinverse dagger additive category, the kernel-image trace is always defined for contractions.*

Proof. Let $f: A \oplus X \rightarrow B \oplus X$ be a contraction. Then f_{XX} is also a contraction, because it can be written as a composition of three contractions $f_{XX} = X \xrightarrow{1_X} A \oplus X \xrightarrow{f} B \oplus X \xrightarrow{\pi_X} X$. Then by Lemma 4.10, $1_X - f_{XX}$ is an EP map. For the rest of this proof, we assume that all idempotents split; this is without loss of generality because we can pass to the dagger idempotent completion. Note that the dagger idempotent completion still has pseudoinverses [6], as is straightforward to check. Because $1_X - f_{XX}$ is an EP map, by Proposition 4.8, we can write

$$1_X - f_{XX} = \begin{array}{c} X_1 \oplus X_2 \\ \oplus \\ \begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix} \end{array},$$

where we have decomposed X into a sum of two objects $X_1 \oplus X_2$ and $a: X_1 \rightarrow X_1$ is invertible. Writing f in matrix form, we now have

$$f = \begin{array}{c} A \oplus X_1 \oplus X_2 \\ \oplus \\ \begin{pmatrix} f_{BA} & f_{BX_1} & f_{BX_2} \\ f_{X_1A} & 1_{X_1} - a & 0 \\ f_{X_2A} & 0 & 1_{X_2} \end{pmatrix} \end{array}.$$

Using Corollary 3.7, we get $f_{X_2A} = 0$ and $f_{BX_2} = 0$. To show that the kernel-image trace of f is defined, we must show that there exist i and k to complete the following diagram:

$$\begin{array}{ccc} A & \overset{i}{\dashrightarrow} & X \\ \begin{pmatrix} f_{X_1A} \\ 0 \end{pmatrix} \swarrow & & \swarrow \begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix} & \searrow \begin{pmatrix} f_{BX_1} & 0 \end{pmatrix} \\ & X & \dashrightarrow & B \\ & & \underset{k}{} & \end{array}$$

But this can be achieved with $i = \begin{pmatrix} a^{-1} \circ f_{X_1 A} \\ 0 \end{pmatrix}$ and $k = (f_{B X_1} \circ a^{-1} \quad 0)$. \square

In the next lemma, we do not assume pseudoinverses, so the kernel-image trace of a given isometry may not exist. However, we show that if it does exist, it is an isometry.

Lemma 5.2 (Trace of isometry). *In a dagger additive category, the kernel-image trace of an isometry, if it exists, is an isometry.*

Proof. Consider an arrow $f: A \oplus X \rightarrow B \oplus X$ with components

$$f = \begin{matrix} & A \oplus X \\ B \\ \oplus \\ X \end{matrix} \begin{pmatrix} f_{BA} & f_{BX} \\ f_{XA} & f_{XX} \end{pmatrix}$$

Assume that f is an isometry, so that we have

$$\begin{aligned} f_{BX}^\dagger \circ f_{BX} + f_{XX}^\dagger \circ f_{XX} &= 1_X, \\ f_{BA}^\dagger \circ f_{BA} + f_{XA}^\dagger \circ f_{XA} &= 1_A, \\ f_{BX}^\dagger \circ f_{BA} + f_{XX}^\dagger \circ f_{XA} &= 0. \end{aligned} \tag{3}$$

Also assume that $\text{Tr}_{\text{ki}}^X f$ exists, so in particular there exists $i: A \rightarrow X$ satisfying

$$f_{XA} = (1_X - f_{XX}) \circ i. \tag{4}$$

To show that $\text{Tr}_{\text{ki}}^X f$ is an isometry, we calculate

$$\begin{aligned} (\text{Tr}_{\text{ki}}^X f)^\dagger \circ \text{Tr}_{\text{ki}}^X f &= (f_{BA}^\dagger + i^\dagger \circ f_{BX}^\dagger) \circ (f_{BA} + f_{BX} \circ i) \\ &= f_{BA}^\dagger \circ f_{BA} + f_{BA}^\dagger \circ f_{BX} \circ i + i^\dagger \circ f_{BX}^\dagger \circ f_{BA} + i^\dagger \circ f_{BX}^\dagger \circ f_{BX} \circ i \\ \text{(by (3))} &= f_{BA}^\dagger \circ f_{BA} - f_{XA}^\dagger \circ f_{XX} \circ i - i^\dagger \circ f_{XX}^\dagger \circ f_{XA} + i^\dagger \circ (1_X - f_{XX}^\dagger \circ f_{XX}) \circ i \\ \text{(by (4))} &= f_{BA}^\dagger \circ f_{BA} - i^\dagger \circ (1_X - f_{XX}^\dagger) \circ f_{XX} \circ i - i^\dagger \circ f_{XX}^\dagger \circ (1_X - f_{XX}) \circ i + i^\dagger \circ (1_X - f_{XX}^\dagger \circ f_{XX}) \circ i \\ &= f_{BA}^\dagger \circ f_{BA} + i^\dagger \circ (-f_{XX} + f_{XX}^\dagger \circ f_{XX}) \circ i + i^\dagger \circ (-f_{XX}^\dagger + f_{XX}^\dagger \circ f_{XX}) \circ i + i^\dagger \circ (1_X - f_{XX}^\dagger \circ f_{XX}) \circ i \\ &= f_{BA}^\dagger \circ f_{BA} + i^\dagger \circ (1_X - f_{XX}^\dagger - f_{XX} + f_{XX}^\dagger \circ f_{XX}) \circ i \\ &= f_{BA}^\dagger \circ f_{BA} + i^\dagger \circ (1_X - f_{XX}^\dagger) \circ (1_X - f_{XX}) \circ i \\ \text{(by (4))} &= f_{BA}^\dagger \circ f_{BA} + f_{XA}^\dagger \circ f_{XA} \\ \text{(by (3))} &= 1_A. \end{aligned} \tag{4}$$

Note that the proof only used the i of the kernel-image trace and not the k . We use this fact to immediately obtain the following.

Lemma 5.3 (Trace of contraction). *In a dagger additive category, the kernel-image trace of a contraction, if it exists, is a contraction.*

Proof. Suppose $f: A \oplus X \rightarrow B \oplus X$ is a contraction. By the definition of contraction, there exists an object B' and an arrow $g: A \oplus X \rightarrow B'$ such that $f^\dagger \circ f + g^\dagger \circ g = 1_{A \oplus X}$, or in other words, such that

$$h = \begin{matrix} & A \oplus X \\ B' \\ \oplus \\ B \\ \oplus \\ X \end{matrix} \begin{pmatrix} g_{B'A} & g_{B'X} \\ f_{BA} & f_{BX} \\ f_{XA} & f_{XX} \end{pmatrix}$$

is an isometry. Now assume that the kernel-image trace of f exists. While this does not necessarily imply that the kernel-image trace of h exists, we nevertheless get the existence of $i: A \rightarrow X$ such that $f_{XA} = (1_X - f_{XX}) \circ i$. As seen in the proof of Lemma 5.2, this is sufficient to show that

$$\begin{pmatrix} g_{B'A} \\ f_{BA} \end{pmatrix} + \begin{pmatrix} g_{B'X} \\ f_{BX} \end{pmatrix} \circ i = \begin{pmatrix} g_{B'A} + g_{B'X} \circ i \\ f_{BA} + f_{BX} \circ i \end{pmatrix}$$

is an isometry. Thus, the kernel-image trace of f , which is $f_{BA} + f_{BX} \circ i$, is a contraction, as claimed. \square

We are now ready to prove our main theorem.

Proof of Theorem 1. Lemmas 5.1, 5.2, and 5.3 show that the kernel-image trace of the ambient category is total on isometries and contractions. Dually, the same holds for coisometries. Hence the trace is also total on their intersection, the unitaries. Moreover, in the cases of unitaries and contractions, the trace is a dagger trace by Remark 2.21. \square

6 The pseudotrace is not a trace

In the proof of our main theorem, pseudoinverses play a minor, but crucial role: they are only used to prove that the kernel-image trace is total. In Bartha's original work [3], pseudoinverses play a larger part, because he uses them directly to define the trace on the category of finite dimensional Hilbert spaces and isometries via the following formula:

$$\mathrm{Tr}_{\mathrm{ps}}^X f = f_{BA} + f_{BX} \circ (1_X - f_{XX})^\circ \circ f_{XA}. \quad (5)$$

In fact, the above formula is defined for all linear maps f (not necessarily isometries), and, as we show below, it agrees with the kernel-image trace whenever the latter exists. However, Bartha's operation is not a trace on the category of all linear maps, because it fails to satisfy the trace axioms. We call it the *pseudotrace*.

Definition 6.1 (Pseudotrace). In a dagger additive category, the *pseudotrace* of $f: A \oplus X \rightarrow B \oplus X$ is defined by (5), if the pseudoinverse of $1_X - f_{XX}$ exists, and undefined otherwise. In particular, if the category has pseudoinverses, this is a totally defined operation.

Warning 6.2. In general, the pseudotrace is not a trace. This is clear because it is totally defined on **FdHilb**, and Hoshino [12] showed that there is no total trace on any nontrivial additive category. Nevertheless, it is interesting to consider which of the six trace axioms fail, and why. It turns out that only dinaturality and vanishing II are violated; see Counterexample 9.13.

Proposition 6.3 (Pseudotrace and kernel-image trace). *In a dagger additive category, whenever the pseudotrace and kernel-image trace are both defined, they coincide.*

Proof. Let $f: A \oplus X \rightarrow B \oplus X$ be an arrow with both $\mathrm{Tr}_{\mathrm{ki}}^X f$ and $\mathrm{Tr}_{\mathrm{ps}}^X f$ defined. Taking i and k as in Definition 2.19, we have

$$\begin{aligned} \mathrm{Tr}_{\mathrm{ki}}^X f &= f_{BA} + k \circ (1_X - f_{XX}) \circ i \\ &= f_{BA} + k \circ (1_X - f_{XX}) \circ (1_X - f_{XX})^\circ \circ (1_X - f_{XX}) \circ i \\ &= f_{BA} + f_{BX} \circ (1_X - f_{XX})^\circ \circ f_{XA} \\ &= \mathrm{Tr}_{\mathrm{ps}}^X f. \end{aligned} \quad \square$$

7 Non-physicality of the trace?

One may ask whether the trace operation on Hilbert spaces and unitaries (or isometries, or contractions) has a physical interpretation, e.g., whether there is some physical device that can perform this operation when presented with an input unitary in the form of a black box. One potential issue is that the trace is not a continuous operation, i.e., an infinitesimal variation in the input may cause a large variation in the output. Another potential issue is that neither the pseudotrace (Definition 6.1) nor the kernel-image trace is total on infinite dimensional Hilbert spaces, even when restricted to contractions, isometries, coisometries, or unitaries. The next two remarks make this precise.

Remark 7.1 (Non-continuity of trace). The trace on finite dimensional Hilbert spaces with unitary maps is not a continuous operation. Take for example the θ -parameterized family of rotations

$$\begin{pmatrix} \cos(\theta) & -\sin(\theta) \\ \sin(\theta) & \cos(\theta) \end{pmatrix}.$$

The trace along the second row and column is 1 for $\theta = 0$ but is -1 for $0 < \theta < 2\pi$. On the other hand, the trace is continuous on strict contractions, because in that case the pseudoinverse in (5) is an actual inverse, which is a continuous operation.

Remark 7.2 (Nonexistence of trace in infinite dimensions). Let $f: \ell^2 \rightarrow \ell^2$ be the contraction on the Hilbert space of square-summable sequences that multiplies the n th term of every sequence by $\frac{1}{n}$. Consider the unitary map $\ell^2 \oplus \ell^2 \rightarrow \ell^2 \oplus \ell^2$ defined by

$$\begin{pmatrix} -(1_{\ell^2} - f) & \sqrt{1_{\ell^2} - (1_{\ell^2} - f)^2} \\ \sqrt{1_{\ell^2} - (1_{\ell^2} - f)^2} & 1_{\ell^2} - f \end{pmatrix}.$$

The pseudotrace along the second row and column does not exist, as f is not pseudoinvertible (i.e., f does not have a closed image; see Example 4.2). Indeed, if there were an induced invertible map from the coimage of f (here the entire space) to the image of f , then its inverse would have to be unbounded. Neither does the kernel-image trace exist, as $\sqrt{1_{\ell^2} - (1_{\ell^2} - f)^2}$ does not factor through f . Indeed, if there were k with $\sqrt{1_{\ell^2} - (1_{\ell^2} - f)^2} = k \circ f$, then k would have to be unbounded.

8 More on pseudoinverses

In this section, we collect some additional results about pseudoinverses that were not required to prove Theorem 1, but are interesting in their own right.

8.1 Pseudoinverses and dagger idempotents

Pseudoinverses arise inevitably in relation to dagger idempotents. Recall that a morphism of idempotents $f: p \rightarrow q$ is an arrow f such that $q \circ f \circ p = f$. As shown in Cockett and Lemay [6], the pseudoinvertible arrows in any dagger category \mathbf{C} exactly correspond to isomorphisms of dagger idempotents (that is, isomorphisms in the dagger idempotent completion of \mathbf{C}):

Proposition 8.1 (Pseudoinverses via dagger idempotents [6]). *In a dagger category, an arrow $f: A \rightarrow B$ is pseudoinvertible if and only if there are dagger idempotents $p: A \rightarrow A$ and $q: B \rightarrow B$ such that f is an isomorphism of dagger idempotents $f: p \rightarrow q$. Furthermore, the*

inverse isomorphism of dagger idempotents $q \rightarrow p$ is given by f° , and we have $p = f^\circ \circ f$ and $q = f \circ f^\circ$.

Proof. To say $f: p \rightarrow q$ is an isomorphism of dagger idempotents with inverse $g: q \rightarrow p$ means $f = q \circ f \circ p$ and $g = p \circ g \circ q$ with $g \circ f = p$ and $f \circ g = q$. Since p and q are dagger idempotents, we have $(g \circ f)^\dagger = g \circ f$ and $(f \circ g)^\dagger = f \circ g$. Moreover $f \circ g \circ f = f$ and $g \circ f \circ g = g$, so $g = f^\circ$.

Conversely, assume f is pseudoinvertible and let $p = f^\circ \circ f$ and $q = f \circ f^\circ$. We have that $f: p \rightarrow q$ and $f^\circ: q \rightarrow p$ are morphisms of dagger idempotents, because $f = f \circ f^\circ \circ f \circ f^\circ \circ f$ and $f^\circ = f^\circ \circ f \circ f^\circ \circ f \circ f^\circ$. The compositions $f^\circ \circ f$ and $f \circ f^\circ$ are respectively the identities on p and q . \square

In a dagger additive category, we obtain four dagger idempotents of interest (written in the matrix form of Proposition 4.5, assuming that the dagger splittings exist):

$$\begin{aligned} f \circ f^\circ &= \begin{array}{c} \text{im } f \oplus (\text{im } f)^\perp \\ \oplus \\ (\text{im } f)^\perp \end{array} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} & f^\circ \circ f &= \begin{array}{c} (\ker f)^\perp \oplus \ker f \\ \oplus \\ \ker f \end{array} \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \\ 1 - f \circ f^\circ &= \begin{array}{c} \text{im } f \oplus (\text{im } f)^\perp \\ \oplus \\ (\text{im } f)^\perp \end{array} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} & 1 - f^\circ \circ f &= \begin{array}{c} (\ker f)^\perp \oplus \ker f \\ \oplus \\ \ker f \end{array} \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \end{aligned}$$

They are, respectively, the projections onto the image, the coimage, the cokernel, and the kernel of f . The following propositions show that these names are justified.

Proposition 8.2 (Image via pseudoinverse). *Let $f: A \rightarrow B$ be a pseudoinvertible arrow in a dagger category such that $f \circ f^\circ$ splits via the mono $m: X \rightarrow B$. Then m is the image of f (i.e., the universal subobject through which f factors).*

Proof. We have $m = f \circ f^\circ \circ m$, so m factors through every arrow that f factors through. \square

Note that f° and f^\dagger have the same image projection, namely $f^\circ \circ f = f^\dagger \circ f^{\dagger\circ}$. This is also the coimage projection of f (and of $f^{\dagger\circ}$). Dually, f° and f^\dagger have the same coimage projection, namely $f \circ f^\circ = f^{\dagger\circ} \circ f^\dagger$, which is also the image projection of f (and of $f^{\dagger\circ}$).

Proposition 8.3 (Kernel via pseudoinverse). *Let $f: A \rightarrow B$ be a pseudoinvertible arrow in a dagger finite addition category, with p and $f^\circ \circ f$ complementary dagger idempotents. Then f has a (dagger) kernel (in the standard sense of Heunen and Karvonen [10]) if and only if p (dagger) splits. The splitting is given by the inclusion map of the kernel.*

Proof. Observe that for all arrows $m: X \rightarrow A$, we have $f \circ m = 0$ if and only if $m = p \circ m$. Indeed, if $f \circ m = 0$, then $m = (f^\circ \circ f + p) \circ m = p \circ m$. Conversely, if $m = p \circ m$ then $f \circ m = f \circ f^\circ \circ f \circ p \circ m = 0$. A universal such arrow m is equivalently characterized as a kernel of f or as an equalizer of p and 1_A . But an equalizer of an idempotent and an identity is the same as a mono splitting the idempotent, as desired. Moreover, a dagger kernel is by definition a kernel that is an isometry. It suffices to observe that every splitting of a dagger idempotent by an isometry m is a dagger splitting:

$$e = e \circ m^\dagger \circ m = m^\dagger \circ e^\dagger \circ m^\dagger = m^\dagger. \quad \square$$

We saw in Proposition 4.5 that in a dagger additive category with dagger splittings of dagger idempotents, pseudoinvertible arrows have a simple matrix decomposition. In fact, an analogous result holds more generally:

Proposition 8.4 (Generalized compact singular value decomposition [6]). *Let $f: A \rightarrow B$ be an arrow in a dagger category. Then f is pseudoinvertible with $f^\circ \circ f$ and $f \circ f^\circ$ dagger split if and only if we can write $f = m \circ a \circ e$ where $e: A \rightarrow A'$ is a coisometry, $a: A' \rightarrow B'$ is an isomorphism, and $m: B' \rightarrow B$ is an isometry. In this case, $f^\circ = e^\dagger \circ a^{-1} \circ m^\dagger$. Moreover, the decomposition is unique up to unitary isomorphisms.*

Proof idea. This follows from Proposition 8.1, since e , a , and m correspond directly to an isomorphism of dagger split dagger idempotents. \square

We also obtain the following generalization of Proposition 4.8.

Corollary 8.5. *Let $f: A \rightarrow A$ be an arrow in a dagger category. Then f is EP with $f^\circ \circ f = f \circ f^\circ$ dagger split if and only if we can write $f = m \circ a \circ m^\dagger$ where $a: A' \rightarrow A'$ is an isomorphism and $m: A' \rightarrow A$ is an isometry. In this case, $f^\circ = m \circ a^{-1} \circ m^\dagger$. Moreover, the decomposition is unique up to unitary isomorphism.*

The following simple lemma is often useful.

Lemma 8.6 (Pseudoinvertible monos split). *In a dagger category, every pseudoinvertible mono (dually, epi) is split by its pseudoinverse.*

Proof. Suppose $m: A \rightarrow B$ is a pseudoinvertible mono. We have $m = m \circ m^\circ \circ m$. Thus by cancellation $m^\circ \circ m = 1_A$. \square

We also have the following characterization of pseudoinvertible monos.

Definition 8.7 (Closed mono [7]). A mono $m: A \rightarrow B$ is *closed* if $m^\dagger \circ m$ is invertible. Closed epis are defined dually.

Lemma 8.8 (Closed is pseudoinvertible). *In a dagger category, a mono $m: A \rightarrow B$ is pseudoinvertible if and only if it is closed. In this case, $m^\circ = (m^\dagger \circ m)^{-1} \circ m^\dagger$.*

Proof. If $m^\dagger \circ m$ is invertible, it is straightforward to see that $(m^\dagger \circ m)^{-1} \circ m^\dagger$ is a pseudoinverse of m . Conversely, suppose m is a pseudoinvertible mono. Then $m^\circ \circ m = 1_B$ by Lemma 8.6. Using this and (2) from Lemma 4.3, we can check that $m^\circ \circ m^{\circ\dagger}$ is an inverse of $m^\dagger \circ m$, hence m is closed. \square

The following proposition is an interesting consequence of Lemma 8.6.

Proposition 8.9. *Every pseudoinverse dagger additive category in which all dagger idempotents split is an abelian category (in the standard sense of Mac Lane [15]).*

Proof. By Proposition 8.3, all kernels exist. Moreover, every mono m is normal as m is a kernel of $1 - m \circ m^\circ$, using both Proposition 8.3 and Lemma 8.6. Dually, all cokernels exist and every epi is normal. \square

8.2 Some formulas for pseudoinverses

Unlike inverses, pseudoinverses do not in general compose (see Counterexample 9.5). It is therefore of interest when there exist formulas for the pseudoinverse of a composition of arrows. In this section, we discuss various such formulas. Most of these are well-known in the literature on pseudoinverses of matrices (see e.g. Campbell and Meyer [5]), but here we generalize them to an abstract dagger category. However, the general composition formula of Proposition 8.13 does not appear in the literature as far as we know.

We begin with some cases where pseudoinverses actually do compose.

Proposition 8.10 (Composition of pseudoinverses). *In a dagger category, if $f: A \rightarrow B$ and $g: B \rightarrow C$ are pseudoinvertible with $f \circ f^\circ = g^\circ \circ g$, then $g \circ f$ is pseudoinvertible with $(g \circ f)^\circ = f^\circ \circ g^\circ$. Moreover, $g \circ f \circ f^\circ \circ g^\circ = g \circ g^\circ$ and $f^\circ \circ g^\circ \circ g \circ f = f^\circ \circ f$.*

Proof. This follows from Proposition 8.1, as a composition of isomorphisms of idempotents is an isomorphism of idempotents. \square

Proposition 8.11 (Pseudoinverse via epi-mono factorization [21]). *Let $e: A \rightarrow B$ be an epi and $m: B \rightarrow C$ be a mono in a dagger category. Then $m \circ e$ is pseudoinvertible if and only if e and m are closed, i.e., $(e \circ e^\dagger)^{-1}$ and $(m^\dagger \circ m)^{-1}$ exist. In this case,*

$$(m \circ e)^\circ = e^\dagger \circ (e \circ e^\dagger)^{-1} \circ (m^\dagger \circ m)^{-1} \circ m^\dagger.$$

Proof. Suppose $m \circ e$ is pseudoinvertible. By checking the pseudoinverse equations (1), we will show that $(m \circ e)^\circ \circ m$ is a pseudoinverse of e , which means that e is closed by Lemma 8.8. First of all, $(m \circ e)^\circ \circ m \circ e$ is self-adjoint. Next, cancelling the epi e and the mono m from the equation $m \circ e \circ (m \circ e)^\circ \circ m \circ e = m \circ e$, we obtain $e \circ (m \circ e)^\circ \circ m = 1_B$, which is also self-adjoint. The remaining pseudoinverse equations follow immediately. Dually, m is also closed. Conversely, suppose e is a closed epi and m is a closed mono. By Lemma 8.8, e and m are pseudoinvertible with $e^\circ = e^\dagger \circ (e \circ e^\dagger)^{-1}$ and $m^\circ = (m^\dagger \circ m)^{-1} \circ m^\dagger$. Then $e \circ e^\circ = 1_B = m^\circ \circ m$ by Lemma 8.6, and hence $(m \circ e)^\circ = e^\circ \circ m^\circ$ by Proposition 8.10, as desired. \square

In contrast to the previous two propositions, the next one gives a formula for $(g \circ f)^\circ$ that does not assume any special properties of f and g . It says that the pseudoinverse of $g \circ f$ is given by the pseudoinverse of “ g restricted to the image of f ” followed by the pseudoinverse of “ f restricted to the coimage of g ”, when these are defined.

Proposition 8.12 (Binary composition formula for pseudoinverses). *We have*

$$(g \circ f)^\circ = (g^\circ \circ g \circ f)^\circ \circ (g \circ f \circ f^\circ)^\circ$$

whenever the right side of this equation is defined.

Instead of proving Proposition 8.12 directly, we prove the following more general result.

Proposition 8.13 (General composition formula for pseudoinverses). *Consider morphisms f_1, \dots, f_n such that the composite $f_1 \circ \dots \circ f_n$ is defined. Let*

$$a_i = (f_1 \circ \dots \circ f_{i-1})^\circ \circ f_1 \circ \dots \circ f_n \circ (f_{i+1} \circ \dots \circ f_n)^\circ.$$

Provided that a_1, \dots, a_n are defined and pseudoinvertible, we have

$$(f_1 \circ \dots \circ f_n)^\circ = a_n^\circ \circ \dots \circ a_1^\circ.$$

Note that the domain and codomain of a_i are respectively the codomain and domain of f_i , so we have expressed the pseudoinverse of the composition as a composition of corresponding arrows in the opposite direction (although each of these arrows is arguably no simpler than what we started with).

Proof. We will show that $f_1 \circ \cdots \circ f_n$ and $a_n^\circ \circ \cdots \circ a_1^\circ$ satisfy the pseudoinverse equations (1). It is convenient to define $\lambda_i = f_1 \circ \cdots \circ f_{i-1}$ and $\rho_i = f_{i+1} \circ \cdots \circ f_n$. Note that with these definitions, $a_i = \lambda_i^\circ \circ \lambda_i \circ f_i \circ \rho_i \circ \rho_i^\circ$. The key step in our proof will be to show that

$$\lambda_{i+1} \circ a_i^\circ \circ \rho_{i-1} = f_1 \circ \cdots \circ f_n \quad (6)$$

for all i , from which each of the pseudoinverse equations follows relatively quickly, as we will see. First, we observe

$$\rho_i \circ \rho_i^\circ \circ a_i^\circ = a_i^\circ = a_i^\circ \circ \lambda_i^\circ \circ \lambda_i. \quad (7)$$

Indeed, note that the dagger idempotent $a_i^\circ \circ a_i$ factors through the dagger idempotent $\rho_i \circ \rho_i^\circ$ on the right. Therefore, it also does so on the left, i.e., $\rho_i \circ \rho_i^\circ \circ a_i^\circ \circ a_i = a_i^\circ \circ a_i$. Multiplying by a_i° , we obtain the first equality of (7); the second one is dual.

Now we can prove (6):

$$\begin{aligned} & \lambda_{i+1} \circ a_i^\circ \circ \rho_{i-1} \\ \text{(by (7))} &= \lambda_{i+1} \circ \rho_i \circ \rho_i^\circ \circ a_i^\circ \circ \lambda_i^\circ \circ \lambda_i \circ \rho_{i-1} \\ \text{(refactoring)} &= \lambda_i \circ f_i \circ \rho_i \circ \rho_i^\circ \circ a_i^\circ \circ \lambda_i^\circ \circ \lambda_i \circ f_i \circ \rho_i \\ \text{(by (1))} &= \lambda_i \circ \lambda_i^\circ \circ \lambda_i \circ f_i \circ \rho_i \circ \rho_i^\circ \circ a_i^\circ \circ \lambda_i^\circ \circ \lambda_i \circ f_i \circ \rho_i \circ \rho_i^\circ \circ \rho_i \\ \text{(definition of } a_i) &= \lambda_i \circ a_i \circ a_i^\circ \circ a_i \circ \rho_i \\ \text{(by (1))} &= \lambda_i \circ a_i \circ \rho_i \\ \text{(definition of } a_i) &= \lambda_i \circ \lambda_i^\circ \circ \lambda_i \circ f_i \circ \rho_i \circ \rho_i^\circ \circ \rho_i \\ \text{(by (1))} &= \lambda_i \circ f_i \circ \rho_i \\ &= f_1 \circ \cdots \circ f_n. \end{aligned}$$

On to the pseudoinverse equations. We first show that $f_1 \circ \cdots \circ f_n \circ a_n^\circ \circ \cdots \circ a_1^\circ$ is self-adjoint; in particular, we have that

$$f_1 \circ \cdots \circ f_n \circ a_n^\circ \circ \cdots \circ a_1^\circ = f_1 \circ a_1^\circ = a_1 \circ a_1^\circ, \quad (8)$$

which is a dagger idempotent. Indeed, we obtain the first equality of (8) by applying (6) and (7) repeatedly:

$$\begin{aligned} f_1 \circ \cdots \circ f_i \circ a_i^\circ \circ \cdots \circ a_1^\circ &= \lambda_{i+1} \circ a_i^\circ \circ a_{i-1}^\circ \circ \cdots \circ a_1^\circ \\ \text{(by (7))} &= \lambda_{i+1} \circ a_i^\circ \circ \rho_{i-1} \circ \rho_{i-1}^\circ \circ a_{i-1}^\circ \circ \cdots \circ a_1^\circ \\ \text{(by (6))} &= f_1 \circ \cdots \circ f_n \circ \rho_{i-1}^\circ \circ a_{i-1}^\circ \circ \cdots \circ a_1^\circ \\ &= f_1 \circ \cdots \circ f_{i-1} \circ \rho_{i-1} \circ \rho_{i-1}^\circ \circ a_{i-1}^\circ \circ \cdots \circ a_1^\circ \\ \text{(by (7))} &= f_1 \circ \cdots \circ f_{i-1} \circ a_{i-1}^\circ \circ \cdots \circ a_1^\circ \end{aligned}$$

and we have the second equality of (8) because $f_1 \circ a_1^\circ = f_1 \circ \rho_1 \circ \rho_1^\circ \circ a_1^\circ = a_1 \circ a_1^\circ$, using (7). The self-adjointness of $a_n^\circ \circ \cdots \circ a_1^\circ \circ f_1 \circ \cdots \circ f_n$ is dual. Also by (8), we have $a_n^\circ \circ \cdots \circ a_1^\circ \circ f_1 \circ \cdots \circ f_n \circ a_n^\circ \circ \cdots \circ a_1^\circ = a_n^\circ \circ \cdots \circ a_1^\circ \circ a_1 \circ a_1^\circ = a_n^\circ \circ \cdots \circ a_1^\circ$. Finally, again by (8), we have $f_1 \circ \cdots \circ f_n \circ a_n^\circ \circ \cdots \circ a_1^\circ \circ f_1 \circ \cdots \circ f_n = f_1 \circ a_1^\circ \circ f_1 \circ \cdots \circ f_n$, but the latter is equal to $f_1 \circ \cdots \circ f_n$ by (6) with $i = n$. Thus, $a_n^\circ \circ \cdots \circ a_1^\circ$ satisfies all the properties of a pseudoinverse of $f_1 \circ \cdots \circ f_n$. \square

8.3 Examples of pseudoinverse dagger additive categories

Although this paper is about pseudoinverse dagger additive categories, so far we have not given many examples of them. In this section, we discuss some constructions that yield examples, as well as some restrictions on possible examples.

Of course, the most canonical pseudoinverse dagger additive category is the category of complex matrices; this is the setting in which pseudoinverses were first developed [18]. The following proposition precisely characterizes which dagger categories admit pseudoinverses, provided that they already admit splittings of idempotents. We will use this to obtain further examples below.

Proposition 8.14. *Let \mathbf{C} be a dagger category in which all dagger idempotents split. Then \mathbf{C} has pseudoinverses if and only if (a) every morphism has an epi-mono factorization and (b) all monos are closed (Definition 8.7).*

Here we merely assume all dagger idempotents split, not that all dagger idempotents dagger split. In fact, there are natural examples where not all dagger idempotents dagger split; see Counterexample 9.10.

Proof. We start with the right-to-left implication. Note that in a dagger category, if all monos are closed, then so are all epis by self-duality. By Proposition 8.11, it is clear that (a) and (b) imply the existence of pseudoinverses. Conversely, for the left-to-right implication, assume pseudoinverses exist. To prove (a), consider any $f: A \rightarrow B$. Split the idempotent $f^\circ \circ f$ via mono m and epi e . Then $f = f \circ f^\circ \circ f = f \circ m \circ e$. Note that $f \circ m$ is a split mono via $e \circ f^\circ \circ f \circ m = e \circ m \circ e \circ m = 1$. Therefore e and $f \circ m$ give the desired epi-mono factorization of f . To prove (b), we just need that pseudoinvertible monos are closed, which is Lemma 8.8. \square

Example 8.15. Let \mathbb{F} be any dagger subfield of \mathbb{C} (i.e., a subfield closed under conjugation). Then $\mathbf{Mat}(\mathbb{F})$ is a pseudoinverse dagger additive category. This follows from Proposition 8.14. Note that the category of matrices over any field has split idempotents and epi-mono factorizations. The fact that monos are closed holds over the complex numbers, and therefore over every dagger subfield, since inverses are computed using the field operations.

Remark 8.16. Note that the formula of Proposition 8.11 gives a practical method for computing pseudoinverses in $\mathbf{Mat}(\mathbb{F})$, because the relevant epi-mono factorizations and inverses are calculated using the dagger field operations.

One might ask under what conditions pseudoinverses exist in $\mathbf{Mat}(\mathbb{F})$, when \mathbb{F} is an arbitrary dagger field (not necessarily a subfield of the complex numbers). Pearl [19] observed that the pseudoinverse of a matrix f over a dagger field exists if and only if $\text{rank}(f) = \text{rank}(f^\dagger \circ f) = \text{rank}(f \circ f^\dagger)$. This follows from Proposition 8.11. However, as the following lemma shows, if $\mathbf{Mat}(\mathbb{F})$ has all pseudoinverses, then \mathbb{F} must have characteristic 0.

Lemma 8.17. *In a pseudoinverse dagger additive category, \mathbb{Q} embeds into the endomorphism ring at each non-zero object.*

Proof. Let $n \in \mathbb{N}_{\geq 1}$, and let $\delta: A \rightarrow A^n$ be the canonical n -ary diagonal map. By symmetry, we have $\delta^\circ = (d \cdots d)$ for some $d: A \rightarrow A$. Since δ is mono, by Lemma 8.6, we have $\delta^\circ \circ \delta = 1_A$, hence $n \cdot d = 1_A$. Thus $n \cdot 1_A$ has a multiplicative inverse. As \mathbb{Q} is the universal ring in which every natural number has a multiplicative inverse, and \mathbb{Q} has no proper quotients, the result follows. \square

Example 8.18 (Finite dimensional rational Hilbert spaces). Given any pseudoinverse dagger additive category, its dagger idempotent completion is also a pseudoinverse dagger additive category. In particular, consider the dagger idempotent completion of $\mathbf{Mat}(\mathbb{Q})$. Although this is equivalent to $\mathbf{Mat}(\mathbb{Q})$ as a category, it is distinct from $\mathbf{Mat}(\mathbb{Q})$ as a dagger category, since not all dagger idempotents split in $\mathbf{Mat}(\mathbb{Q})$ (see Counterexample 9.10). More explicitly, this is the dagger category of finite dimensional rational vector spaces equipped with rational-valued inner products (e.g., \mathbb{Q} equipped with $\langle x, y \rangle = 2xy$).

Example 8.19 (Free pseudoinverse dagger additive categories). Since pseudoinverse dagger additive categories are essentially algebraic, we can consider freely generated structures. It follows from Lemma 8.17 that the free pseudoinverse dagger additive category on an object is equivalent to $\mathbf{Mat}(\mathbb{Q})$. But more exotic examples exist, such as the free pseudoinverse dagger category on an object with an endomorphism; see Counterexample 9.2.

Finally, since pseudoinverse dagger additive categories are essentially algebraic, one can trivially construct more examples by taking products or limits of existing examples.

9 Counterexamples

This section consists of several counterexamples, which preclude various strengthenings of results in the paper. Our main theorem gives a sufficient condition for the monoidal subcategory of contractions in a dagger additive category to be traced: the existence of pseudoinverses. However, this is not a necessary condition.

Counterexample 9.1 (Trace without pseudoinverses). The kernel-image trace on the dagger additive category $\mathbf{Mat}(\mathbb{Z})$ of integer-valued matrices is totally defined on contractions. Indeed, since contractions are equivalently submatrices of unitaries, they are the matrices with entries in $\{-1, 0, 1\}$ with at most one nonzero entry per row and per column, and one may check that all kernel-image traces of such matrices exist. However, not all arrows (even those of the form $1 - f$ with f a contraction) are pseudoinvertible, e.g., the matrix (2).

Given the examples we have seen so far, it is reasonable to ask whether all pseudoinverse dagger additive categories are dagger additive subcategories of matrices over the complex numbers. However, this is not the case.

Counterexample 9.2 (Non-complex-matrix pseudoinverse dagger additive category). The free pseudoinverse dagger additive category on an object $*$ and an arrow $f: * \rightarrow *$ does not embed into any dagger additive category of matrices over a field. Indeed, given an endomorphism f of a finite dimensional vector space, the image eventually stabilizes with repeated application, i.e. (assuming relevant pseudoinverses exist), $f^n \circ (f^n)^\circ = f^{n+1} \circ (f^{n+1})^\circ$ for some n . But such n can be arbitrarily high, so in the free instance this cannot happen for any particular n .

We saw in Remark 2.11 that in a dagger additive category, every isometry is a component of a unitary. If moreover all dagger idempotents dagger split, then every isometry is a *column* of a unitary, using Lemma 2.17. We note that this stronger statement does not hold without the assumption of dagger splittings.

Counterexample 9.3 (Non-unitary-column isometry). Consider the full dagger finite biproduct subcategory of \mathbf{FdHilb} of spaces with dimension not equal to 1. The inclusion of a 2-dimensional subspace into a 3-dimensional space is then not a column of a unitary.

We saw in Corollary 3.7 that for a contraction in a definite dagger additive category, any row or column with a 1 has all other entries 0. This does not hold without assuming definiteness.

Counterexample 9.4 (Non-maxed-out row). In $\mathbf{Mat}(\mathbb{F}_2)$, the matrix $\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$ is a coisometry.

Next, we give some more counterexamples relating to pseudoinverses. Pseudoinverses do not compose: in general we do not have $(g \circ f)^\circ = f^\circ \circ g^\circ$. However, this does hold when the image projection of f and the coimage projection of g coincide, as in Proposition 8.10. We observe that it is not sufficient to merely assume that the image projection of f factors through the coimage projection of g .

Counterexample 9.5 (Non-composition of pseudoinverses). Consider the dagger idempotent $p = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ and the invertible matrix $a = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ in \mathbf{FdHilb} . We have $a \circ p = p$, and thus $(a \circ p)^\circ = p^\circ = p$, whereas $p^\circ \circ a^\circ = p \circ a^{-1} = \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}$.

It may even happen that f and g are pseudoinvertible but $g \circ f$ is not:

Counterexample 9.6 (Nonexistence of pseudoinverse of composite). Consider $\mathbf{Mat}(\mathbb{C})$ equipped with *transpose* rather than conjugate transpose as dagger. The matrix $p = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ is a dagger idempotent (hence pseudoinvertible), and the matrix $a = \begin{pmatrix} 1 & 0 \\ i & 1 \end{pmatrix}$ is invertible, but their composite $a \circ p = \begin{pmatrix} 1 & 0 \\ i & 0 \end{pmatrix}$ is not pseudoinvertible.

On the other hand, the next example shows that it is still possible to have $(g \circ f)^\circ = f^\circ \circ g^\circ$ in cases where the image projection of f and coimage projection of g are incompatible (do not even commute); in particular, the sufficient condition given in Proposition 8.10 is not necessary. (See Cockett and Lemay [6] for a necessary and sufficient condition for pseudoinverses to compose.)

Counterexample 9.7 (Composition of pseudoinverses). In the dagger category of finite sets and relations (i.e., boolean-valued matrices), the pseudoinverse of the idempotent $p = \begin{pmatrix} 1 & 0 \\ 1 & 0 \end{pmatrix}$ is the idempotent $p^\circ = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$. Hence $(pp)^\circ = p^\circ \circ p^\circ$. However, the image projection $p \circ p^\circ = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$ does not commute with the coimage projection $p^\circ \circ p = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$.

In Proposition 8.12, we saw that $(g \circ f)^\circ = (g^\circ \circ g \circ f)^\circ \circ (g \circ f \circ f^\circ)^\circ$ whenever the right side is defined. Then in Proposition 8.13, we gave a more general formula for longer compositions. The general formula is perhaps not the most obvious generalization of the binary formula; one might alternatively expect e.g. $(h \circ g \circ f)^\circ = (g^\circ \circ g \circ f)^\circ \circ (h^\circ \circ h \circ g \circ f \circ f^\circ)^\circ \circ (h \circ g \circ g^\circ)^\circ$. But that formula does not hold in general.

Counterexample 9.8 (Failure of alternative pseudoinverse composition formula). When g is an identity, the supposed formula $(h \circ g \circ f)^\circ = (g^\circ \circ g \circ f)^\circ \circ (h^\circ \circ h \circ g \circ f \circ f^\circ)^\circ \circ (h \circ g \circ g^\circ)^\circ$ becomes $(h \circ f)^\circ = f^\circ \circ (h^\circ \circ h \circ f \circ f^\circ)^\circ \circ h^\circ$. Now take $f = p = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$, and $h = a = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$, as in Counterexample 9.5. Then $(h \circ f)^\circ = (a \circ p)^\circ = p = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$ and $f^\circ \circ (h^\circ \circ h \circ f \circ f^\circ)^\circ \circ h^\circ = p \circ a^{-1} = \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}$.

We saw in Lemma 8.6 that every pseudoinvertible mono is a split mono. However, the converse does not hold in general.

Counterexample 9.9 (Non-pseudoinvertible split mono). In $\mathbf{Mat}(\mathbb{Z})$, $m = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ is a split mono. If its pseudoinverse existed, it would also be the pseudoinverse in $\mathbf{Mat}(\mathbb{Q})$. However, the pseudoinverse in $\mathbf{Mat}(\mathbb{Q})$ is $\begin{pmatrix} 1 & 1 \\ 2 & 2 \end{pmatrix}$, which is not in $\mathbf{Mat}(\mathbb{Z})$.

Proposition 8.14 gave a simple characterization of pseudoinverse dagger categories in which all idempotents split. The following counterexample shows that in such cases, not all dagger idempotents need to be dagger split.

Counterexample 9.10 (Non-dagger-split split dagger idempotent). In $\mathbf{Mat}(\mathbb{Q})$, the dagger idempotent $\frac{1}{2}\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$ splits (say, as $\begin{pmatrix} 1 \\ 1 \end{pmatrix} \circ \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \end{pmatrix}$), but it does not dagger split, since in $\mathbf{Mat}(\mathbb{R})$ this idempotent only dagger splits via either the mono $\frac{1}{\sqrt{2}}\begin{pmatrix} 1 \\ 1 \end{pmatrix}$ or its negation.

The following counterexamples show that when we do not assume the existence of all pseudoinverses, the pseudotracer (Definition 6.1) may be defined in cases where the kernel-image trace is undefined or vice versa (even restricting to unitaries).

Counterexample 9.11 (Non-pseudotracer kernel-image trace). In $\mathbf{Mat}(\mathbb{Z})$, the unitary $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ does not have a pseudotracer along the second row and column, as $1 - (-1) = 2$ is not pseudoinvertible. It does have a kernel-image trace equal to $1 + 0(2)0 = 1$.

Counterexample 9.12 (Non-kernel-image-trace pseudotracer). In $\mathbf{Mat}(\mathbb{Z}[x]/\langle x^2 \rangle)$, the unitary $\begin{pmatrix} -1 & x \\ x & 1 \end{pmatrix}$ has pseudotracer along the second row and column, as $1 - 1 = 0$ is pseudoinvertible. It does not have a kernel-image trace, as x is not of the form $0 \circ i$ for any i .

The next counterexample shows that in a pseudoinverse dagger additive category, the pseudotracer does not behave as a trace on the maps that are not contractions.

Counterexample 9.13 (Pseudotracer not trace). In \mathbf{FdHilb} , the pseudotracer is not a trace. In fact, exactly two of the six axioms fail, namely dinaturality and vanishing II. To see dinaturality fail, let $X = \mathbb{C}^2$ and $A = \mathbb{C}$, and consider $f: A \oplus X \rightarrow A \oplus X$ and $g: X \rightarrow X$ defined by

$$f = \left(\begin{array}{c|cc} 0 & 1 & 0 \\ \hline 1 & 1 & 0 \\ 0 & 0 & 1 \end{array} \right) \quad \text{and} \quad g = \begin{pmatrix} 1 & -1 \\ 0 & 1 \end{pmatrix}.$$

Then we find

$$\mathrm{Tr}_{\mathrm{ps}}^X((1_A \oplus g) \circ f) = 0 \quad \text{and} \quad \mathrm{Tr}_{\mathrm{ps}}^X(f \circ (1_A \oplus g)) = -1,$$

violating dinaturality. To see vanishing II fail, let $A = X = Y = \mathbb{C}$ and consider $f: A \oplus X \oplus Y \rightarrow A \oplus X \oplus Y$ defined by

$$f = \left(\begin{array}{c|cc} 0 & 1 & 0 \\ \hline 1 & 0 & 1 \\ 0 & 1 & 0 \end{array} \right).$$

Then $\mathrm{Tr}_{\mathrm{ps}}^{X \oplus Y}(f) = \frac{1}{4}$ and $\mathrm{Tr}_{\mathrm{ps}}^X(\mathrm{Tr}_{\mathrm{ps}}^Y(f)) = 0$, violating vanishing II.

Finally, we give several counterexamples that show certain results about dagger additive categories do not hold in the absence of negatives. It follows from Proposition 8.3 that any isometry m in a dagger additive category is the dagger kernel of $1 - m \circ m^\dagger$. However, isometries need not be kernels if we do not assume the existence of negatives.

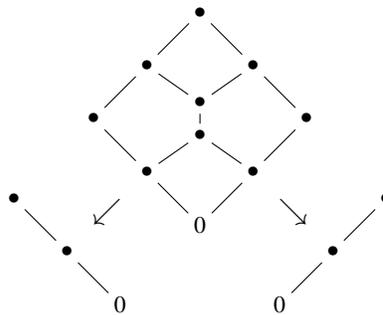
Counterexample 9.14 (Non-kernel isometry). In the dagger finite biproduct category of finite sets and relations (i.e., boolean-valued matrices), the isometry $\begin{pmatrix} 1 \\ 1 \end{pmatrix}$ is not a kernel.

We saw in Lemma 2.17 that complementary split idempotents are tantamount to direct sum decompositions. In an additive category, idempotents p and q are complementary if and only if $p + q = 1$, which does not hold in the absence of negatives.

Counterexample 9.15 (Not-quite-complementary idempotents). Consider the (dagger) finite biproduct category of finite sets and relations. Letting $p = q = 1_{\{*\}}$, we have $p + q = 1_{\{*\}}$, but $pq \neq 0$. Thus, p and q are not complementary. Also, both p and q are split via $\{*\}$, but $\{*\}$ is not isomorphic to $\{*\} \oplus \{*\}$.

Complementary split idempotents are split by (co)kernels of one another, by an argument similar to the proof of Proposition 8.3. Thus each idempotent can be recovered from the other as the (necessarily unique) idempotent split by its kernel and cokernel. Hence, in the absence of negatives, it is reasonable to ask whether idempotents that are split by (co)kernels of one another are necessarily complementary. However, this is not the case.

Counterexample 9.16 (Non-complementary mutual (co)kernels). In the finite biproduct category of bounded semilattices (equivalently, modules over the booleans), consider the following semilattice, with evident “projection-like” idempotents onto the shown sublattices:

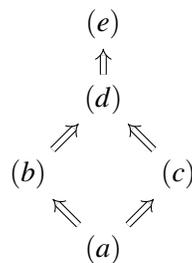


These idempotents are split by (co)kernels of one another, but they are not complementary.

The kernel-image trace is a partial trace on any additive category. It is reasonable to ask whether the same formula works in an arbitrary finite biproduct category, simply leaving the trace undefined where the relevant subtraction is not defined. However, this does not give a partial trace in general.

Counterexample 9.17 (Kernel-image non-trace). Consider $\mathbf{Mat}(\mathbb{N}[x, y]/\langle xy \rangle)$. The matrix $(xy) = 0$ has a negative, so the kernel-image trace formula (tracing out the entire input and output) $0 + 0(1 - 0)0$ is defined. On the other hand, the matrix (yx) does not have a negative, so the kernel-image trace formula is undefined. This violates the dinaturality law for partial traces.

In Proposition 3.2 we saw five equivalent characterizations of contractions in a dagger additive category. However, these conditions are not equivalent in a mere dagger finite biproduct category (i.e., without assuming negatives). In fact, they are all distinct, with implications between them as follows:



To distinguish them, it suffices to see that (b) is distinct from (c) and that (d) is distinct from (e) ; it is then clear that the self-dual definitions are distinct from the non-self-dual definitions. To say (c) is distinct from (d) means that contractions are not the same as cocontractions.

Counterexample 9.18 (Non-cocontraction contraction). Consider the dagger finite biproduct category of finite sets and relations (i.e., boolean-valued matrices). The isometries are the matrices featuring at least one 1 per column and at most one 1 per row. The matrix $\begin{pmatrix} 1 \\ 1 \end{pmatrix}$ is an isometry, thus a contraction, but not a component of a coisometry, thus not a cocontraction.

To say (d) is distinct from (e) means that not every coisometry followed by an isometry is equal to an isometry followed by a coisometry.

Counterexample 9.19 (Non-isometry-then-coisometry coisometry-then-isometry). Consider $\mathbb{N}[x, x^\dagger] \langle x^\dagger x = 1 \rangle$ (the free dagger rig on an isometry x). Its elements have explicit normal forms, as finite expressions $\sum_{i,j \geq 0} n_{i,j} x^j (x^\dagger)^i$. In the corresponding dagger finite biproduct category of matrices, the isometries are the matrices having entries in $\{0, 1, x, x^2, \dots\}$ with one nonzero entry per column and at most one nonzero entry per row. Clearly the matrix (xx^\dagger) cannot be expressed as an isometry followed by a coisometry.

Conclusion and future work

We showed that in every pseudoinverse dagger additive category, each of the subcategories of isometries, coisometries, unitary maps, and contractions forms a totally traced category. This generalizes a result by Bartha in the case of finite dimensional Hilbert spaces. One of the main ingredients of this construction is the notion of pseudoinverse, which was originally studied for matrices by Moore and Penrose, but makes sense in any dagger category. Contractions can also be defined in any dagger category (as compositions of isometries and coisometries), but they only behave as expected if one assumes additive structure and definiteness. The latter follows from the existence of pseudoinverses.

The study of pseudoinverses in dagger categories is also worthwhile in its own right, and we included some results that we think are interesting. In Propositions 8.2 and 8.3, we showed how to work with images and kernels of arrows in terms of pseudoinverses, without explicitly assuming the existence of any limit structure. In Proposition 8.13, we gave a general formula for the pseudoinverse of a composition of n arrows, which we have not seen elsewhere. We would also like to highlight Proposition 8.14, which yields a convenient way to check whether a given dagger category has pseudoinverses, provided that idempotents are already known to split.

As for future work, one might ask whether Bartha's trace has a physical interpretation. As we mentioned in Section 7, we do not know the answer, but some potential evidence to the contrary is that the trace on contractions is not a continuous operation, and that it does not exist in infinite dimensional spaces.

It would also be interesting to investigate whether the assumptions under which contractions are traced could be further reduced. Indeed, as we have seen in Counterexample 9.1, there are examples of dagger additive categories in which the contractions are totally traced but not all pseudoinverses exist. But on the other hand, Remark 7.2 shows that it is not sufficient to merely assume, say, the existence of dagger kernels.

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