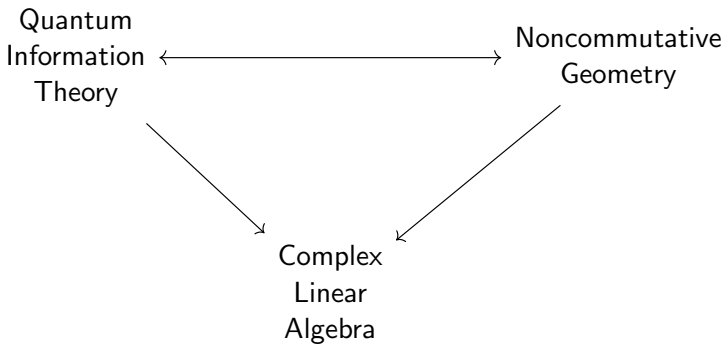


# Entropy in multimatrix algebras

Andre Kornell

New Mexico State University

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Definition. A **density matrix** is a Hermitian matrix  $\rho \in M_n(\mathbb{C})$  such that

1. each eigenvalue of  $\rho$  is nonnegative,
2.  $\text{tr}(\rho) = 1$ .

Theorem (K). Let  $u_1, \dots, u_k, v_1, \dots, v_k \in M_{m \times n}(\mathbb{C})$ . These are equivalent:

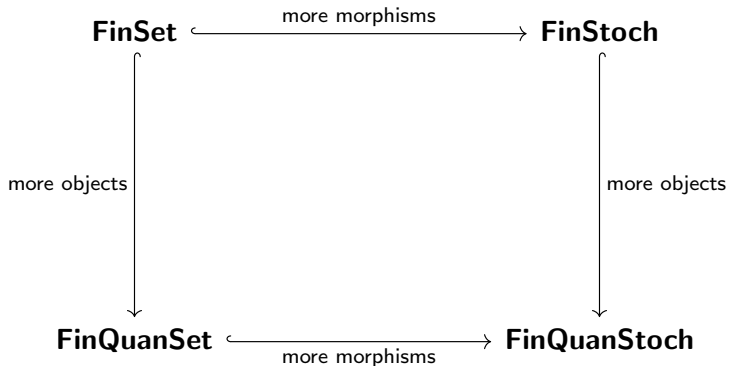
1.  $a \mapsto \sum_i v_i^\dagger \cdot a \cdot u_i$  is a unital  $*$ -homomorphism  $M_m(\mathbb{C}) \rightarrow M_n(\mathbb{C})$ ,
2. for each  $d \geq 1$  and each density matrix  $\rho \in M_{dn}(\mathbb{C})$ ,

$$\rho' = \sum_i (1_d \otimes u_i) \cdot \rho \cdot (1_d \otimes v_i^\dagger)$$

is a density matrix  $\rho' \in M_{dm}(\mathbb{C})$  such that

$$\log n - \text{tr}(\rho \cdot \log \rho) \geq \log m - \text{tr}(\rho' \cdot \log \rho').$$

The setting for this talk consists of four categories:



Our **categories** are all distributive symmetric monoidal categories:

1. monoidal products  $X \otimes Y$ ,
2. binary coproducts  $X \oplus Y$ ,
3. distributors  $X \otimes (Y \oplus Z) \cong (X \otimes Y) \oplus (X \otimes Z)$ .

Our **functors** preserve  $\otimes$  and  $\oplus$  up to isomorphism.

Example. The category **FinSet** of finite sets and maps.

Example. The category **Ab** of Abelian groups and group homomorphisms.

Example. The category **FinQuanStoch** of multimatrix algebras and trace-preserving completely positive maps.

Definition. A **multimatrix algebra** is a finite-dim. complex algebra  $A$  with an operation  $(-)^*$ :  $A \rightarrow A$  such that

1.  $(za)^* = \bar{z}a^*$ ,
2.  $(a + b)^* = a^* + b^*$ ,
3.  $(a \cdot b)^* = b^* \cdot a^*$ ,
4.  $a^{**} = a$ ,
5.  $a^* \cdot a = 0 \Rightarrow a = 0$ .

(Our algebras are associative and unital but not necessarily commutative.)

Example.  $A = M_n(\mathbb{C})$ , with  $a^*$  being the conjugate transpose of  $a$ .

Proposition. If  $A$  is a multimatrix algebra, then

$$A \cong M_{n_1}(\mathbb{C}) \oplus \cdots \oplus M_{n_\ell}(\mathbb{C}).$$

Definition. Let  $A$  and  $B$  be multimatrix algebras.

1. An element  $a \in A$  is **positive** if  $a = r^2$  for some  $r \in A$  with  $r^* = r$ .
2. A map  $\varphi: A \rightarrow B$  is **positive** if  $\varphi$  is linear and

$$a \text{ is positive} \implies \varphi(a) \text{ is positive.}$$

3. A map  $\varphi: A \rightarrow B$  is **completely positive** if

$$\text{id} \otimes \varphi: M_d(A) \rightarrow M_d(B)$$

is positive for all  $d \geq 1$ . (Recall that  $M_d(A) = M_d(\mathbb{C}) \otimes A$ ).

Example. On  $M_2(\mathbb{C})$ ,  $a \mapsto a^T$  is positive but not completely positive.

Example. On  $M_2(\mathbb{C})$ ,  $a \mapsto vav^*$  is completely positive for each  $v \in M_2(\mathbb{C})$ .

Definition. For each multimatrix algebra  $A$ , let

$$\mathrm{tr}: A \rightarrow \mathbb{C}$$

be the unique linear map such that

1.  $\mathrm{tr}(a \cdot b) = \mathrm{tr}(b \cdot a)$ ,
2.  $\mathrm{tr}(b) = 1$  whenever  $b^2 = b = b^*$  and  $b \cdot A \cdot b = \mathbb{C}b$ .

Example. If  $A = M_{n_1}(\mathbb{C}) \oplus \cdots \oplus M_{n_\ell}(\mathbb{C})$ , then

$$\mathrm{tr}: (a_1, \dots, a_\ell) \mapsto \mathrm{tr}(a_1) + \cdots + \mathrm{tr}(a_\ell).$$



Definition. The category **FinQuanStoch**:

1. an object  $A$  is a multimatrix algebra,
2. a morphism  $\varphi: A \rightarrow B$  is a completely positive map such that

$$\text{tr}(\varphi(a)) = \text{tr}(a).$$

From the perspective of quantum information theory,

1. an object of **FinQuanStoch** is a finite data type,
2. a morphism of **FinQuanStoch** is a data channel.

Example. The measurement of a qubit is a morphism  $M_2(\mathbb{C}) \rightarrow \mathbb{C}^2$ .

Example. The initialization of two qubits and three bits is a morphism

$$\mathbb{C} \rightarrow M_2(\mathbb{C}) \otimes M_2(\mathbb{C}) \otimes \mathbb{C}^2 \otimes \mathbb{C}^2 \otimes \mathbb{C}^2.$$

$$\mathbf{FinStoch} \xrightarrow{\text{full}} \mathbf{FinQuanStoch}$$

Definition. The category **FinStoch**:

1. an object  $X$  is a finite set,
2. a morphism  $p: X \rightarrow Y$  is a stochastic map,

$$p(y|x) \in [0, 1], \quad \sum_{y \in Y} p(y|x) = 1.$$

Definition. The inclusion functor:

$$p: X \rightarrow Y \quad \varphi_p: \mathbb{C}^X \rightarrow \mathbb{C}^Y$$

$$\varphi_p(a)(y) = \sum_{x \in X} p(y|x)a(x)$$

Let  $\mu$ ,  $\varphi$ , and  $\psi$  be trace-preserving completely positive maps

$$\mu: \mathbb{C} \rightarrow A \otimes B \quad \varphi: \mathbb{C}^2 \otimes A \rightarrow \mathbb{C}^2 \quad \psi: B \otimes \mathbb{C}^2 \rightarrow \mathbb{C}^2.$$

The composition

$$\mathbb{C}^2 \otimes \mathbb{C}^2 \xrightarrow{\text{id} \otimes \mu \otimes \text{id}} \mathbb{C}^2 \otimes A \otimes B \otimes \mathbb{C}^2 \xrightarrow{\varphi \otimes \psi} \mathbb{C}^2 \otimes \mathbb{C}^2$$

is essentially a stochastic map  $\{0, 1\} \times \{0, 1\} \rightarrow \{0, 1\} \times \{0, 1\}$ .

Definition.

1. If  $A$  and  $B$  are commutative, this is a **classical correlation**.
2. If  $A$  and  $B$  are arbitrary, this is a **quantum correlation**.

Theorem (Bell, 1964).

There are quantum correlations that are not classical correlations.

$$\mathbf{FinSet} \xleftrightarrow{\text{same obj.}} \mathbf{FinStoch}$$

Definition. The inclusion functor:

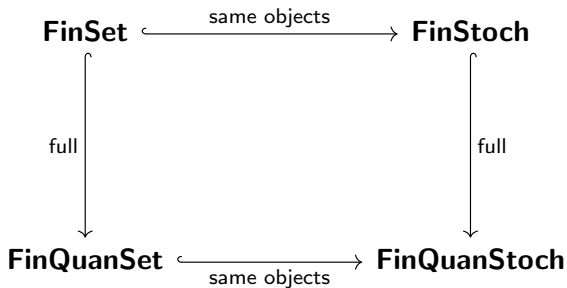
$$f: X \rightarrow Y \quad p_f: X \rightarrow Y \quad p_f(y|x) = \begin{cases} 1 & y = f(x) \\ 0 & \text{otherwise} \end{cases}$$

Theorem. Let  $p: X \rightarrow Y$  be a stochastic map.

$$p = p_f \text{ for some } f \iff S(m) \geq S(p \circ m) \text{ for all } m: \{\star\} \rightarrow X$$

Definition. The **entropy** of  $m$  is  $S(m) = - \sum_{x \in X} m(x|\star) \log m(x|\star)$ .

Can this theorem be generalized from **FinStoch** to **FinQuanStoch**?



What is **FinQuanSet**? The answer depends on perspective.

$$\mathbb{C} \xrightarrow{\text{prepare superposition}} M_2(\mathbb{C}) \xrightarrow{\text{measure}} \mathbb{C}^2$$

This  $p: \mathbb{C} \rightarrow \mathbb{C}^2$  is not **deterministic**, i.e., not of the form  $p = p_f$ .

Which step introduces randomness?

Definition. The category **MulMat**:

1. an object  $A$  is a multimatrix algebra,
2. a morphism  $\pi: A \rightarrow B$  is a unital  $*$ -homomorphism.

Definition.

$$\mathbf{FinQuanSet} \simeq \mathbf{MulMat}^{\text{op}}$$

This definition is the core of noncommutative geometry.

This definition is comparable to the proposition that

$$\mathbf{AffSch} \simeq \mathbf{CommRing}^{\text{op}}.$$

$$\mathbf{FinSet} \xrightarrow{\text{full}} \mathbf{FinQuanSet}$$

Definition. The inclusion functor:

$$f: X \rightarrow Y \quad \pi_f: \mathbb{C}^Y \rightarrow \mathbb{C}^X \quad \pi_f(b) = b \circ f$$

$$\mathbf{FinQuan} \xrightarrow{\text{full}} \mathbf{FinQuanStoch}$$

Definition. The inclusion functor:

$$\pi: B \rightarrow A \quad \varphi_\pi: A \rightarrow B \quad \text{tr}(\varphi_\pi(a) \cdot b) = \text{tr}(a \cdot \pi(b))$$

Theorem. Let  $p: X \rightarrow Y$  be a stochastic map.

$$p = p_f \text{ for some } f \iff S(m) \geq S(p \circ m) \text{ for all } m: \{\star\} \rightarrow X$$

Question. Let  $\varphi: A \rightarrow B$  be a trace-preserving completely positive map.

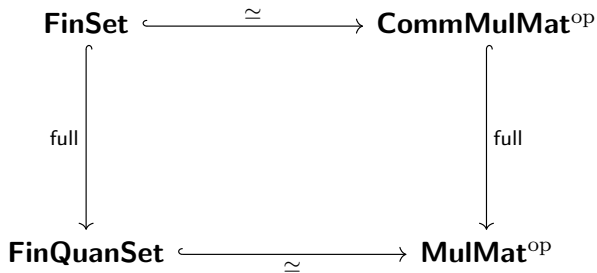
$$\varphi = \varphi_\pi \text{ for some } \pi \stackrel{?}{\iff} S_{vN}(\mu) \geq S_{vN}(\varphi \circ \mu) \text{ for all } \mu: \mathbb{C} \rightarrow A$$

Definition. The **von Neumann entropy** of  $\mu$  is

$$S_{vN}(\mu) = -\text{tr}(\mu(1) \cdot \log \mu(1)).$$

Answer. No. Measurement  $\varphi: M_2(\mathbb{C}) \rightarrow \mathbb{C}^2$  is of the form  $\varphi = \varphi_\pi$ , but measurement increases von Neumann entropy.





The top equivalence is  $X \mapsto \mathbb{C}^X$ . Intuitively, so is the bottom equivalence.

No explicit construction of **FinQuanSet** fully captures this intuition.

Example. There is a finite quantum set  $Q$  such that  $\mathbb{C}^Q \cong M_2(\mathbb{C})$ .

For each finite set  $X$ , we can prove that  $\dim \mathbb{C}^X = \text{card } X$ .

For each finite **quantum** set  $X$ , we **define** that  $\text{card } X = \dim \mathbb{C}^X$ .

Example. We have that  $\text{card } Q = \dim \mathbb{C}^Q = \dim M_2(\mathbb{C}) = 4$ .

For each finite set  $X$  and each Hermitian  $a \in \mathbb{C}^X$ , we can prove that

$$a: X \rightarrow \mathbb{R}, \quad \text{Ran}(a) = \text{Sp}(a).$$

For each finite **quantum** set  $X$  and each Hermitian  $a \in \mathbb{C}^X$ , we **imagine** that  $a$  is a real-valued function on  $X$  that has range  $\text{Sp}(a)$ .

Example. We imagine that  $\begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix} \in M_2(\mathbb{C}) \cong \mathbb{C}^Q$  is a real-valued function on  $Q$  that has range  $\{0, 2\}$ .

We view each Hermitian  $a \in \mathbb{C}^Q \cong M_2(\mathbb{C})$  as a real-valued function on  $Q$ .

Question. How do we sum its values **with multiplicity**?

Bad answer. The sum is  $\text{tr}(a)$ .

Example. We imagine  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  to be a real-valued function with range  $\{1\}$ . This is the constant function 1 on  $Q$ . The sum of its values is  $\text{card } Q = 4$ .

Good answer. The sum is  $2\text{tr}(a)$ . The map

$$\sigma: \mathbb{C}^Q \rightarrow \mathbb{C}, \quad \sigma(a) = 2\text{tr}(a),$$

is the unique linear map such that

1.  $\sigma(\pi(a)) = \sigma(a)$  for each automorphism  $\pi: \mathbb{C}^Q \rightarrow \mathbb{C}^Q$ ,
2.  $\sigma(1_Q) = \text{card } Q$ .

Let  $X$  be a finite quantum set. An element  $a \in \mathbb{C}^X$  is a **projection** if  $a^2 = a = a^*$  or equivalently if  $a$  is Hermitian and  $\text{Sp}(a) \subseteq \{0, 1\}$ .

Definition. We define the linear map  $\sigma: \mathbb{C}^X \rightarrow \mathbb{C}$  by

1.  $\sigma(a \cdot b) = \sigma(b \cdot a)$ ,
2.  $\sigma(a) = \dim(\mathbb{C}^X \cdot a)$  for each projection  $a \in \mathbb{C}^X$ .

In summary, we imagine the following:

The Hermitian elements of  $\mathbb{C}^X$  are real-valued functions on  $X$ .

The projections in  $\mathbb{C}^X$  are  $\{0, 1\}$ -valued functions on  $X$ .

The projections in  $\mathbb{C}^X$  correspond to the subsets of  $X$ .

The linear map  $\sigma: \mathbb{C}^X \rightarrow \mathbb{C}$  sums values with multiplicity.

Let  $X$  be a finite set, and let  $m: \{\star\} \rightarrow X$  be a stochastic map.

$$\begin{aligned} S(m) &= - \sum_{x \in X} m(x|\star) \log m(x|\star) \\ &= - \sum_{x \in X} \varphi_m(1)(x) \log \varphi_m(1)(x) \\ &= - \sum_{x \in X} (\varphi_m(1) \cdot \log \varphi_m(1))(x) \\ &= -\sigma(\varphi_m(1) \cdot \log \varphi_m(1)) \end{aligned}$$

Definition. Let  $\mu: \mathbb{C} \rightarrow A$  be a trace-preserving completely positive map. The **noncommutative entropy** of  $\mu$  is

$$S_{NCG}(\mu) = -\sigma(\mu(1) \cdot \log \mu(1)).$$

Question. Let  $\varphi: A \rightarrow B$  be a trace-preserving completely positive map.

$$\varphi = \varphi_\pi \text{ for some } \pi \iff S_{NCG}(\mu) \geq S_{NCG}(\varphi \circ \mu) \text{ for all } \mu: \mathbb{C} \rightarrow A$$

Answer. No. Reset  $\varphi: M_2(\mathbb{C}) \rightarrow M_2(\mathbb{C})$  is not of the form  $\varphi = \varphi_\pi$ , but reset never increases noncommutative entropy.

Question. What do we do now?

Answer. We follow the slogan

“Everything that must happen must happen completely.”

Let  $A$  and  $B$  be multimatrix algebras, and let

$$\varphi: A \rightarrow B$$

be a trace-preserving completely positive map.

Definition. The map  $\varphi$  is **entropy-nonincreasing** if

$$S_{NCG}(\mu) \geq S_{NCG}(\varphi \circ \mu)$$

for all trace-preserving completely positive maps  $\mu: \mathbb{C} \rightarrow A$ .

Theorem (K). The following are equivalent:

1.  $\varphi^*: B \rightarrow A$  is a unital  $*$ -homomorphism,
2.  $\text{id} \otimes \varphi: M_d(A) \rightarrow M_d(B)$  is entropy-nonincreasing for all  $d \geq 1$ .

Proposition. For each morphism  $\mu: \mathbb{C} \rightarrow A$ ,

$$S_{NCG}(\mu) = S_{vN}(\mu) + E_{\mu}(\log \zeta_A),$$

where  $\zeta_A \in A$  is defined by  $\sigma(a) = \text{tr}(a\zeta_A)$  for all  $a \in A$ .

Proposition. For each morphism  $\mu: \mathbb{C} \rightarrow A$ ,

$$S_{NCG}(\mu) = -S_{vN}(\mu || \sigma^*) + \log \dim A,$$

where  $\sigma^*: \mathbb{C} \rightarrow A$  is the adjoint of  $\sigma: A \rightarrow \mathbb{C}$ .

The morphism  $\sigma^*$  plays the role of the uniform probability distribution.

The noncommutative entropy  $S_{NCG}(\mu)$  is maximized when  $\mu = \sigma^*$ .

$$S_{NCG}(\sigma^*) = \log \dim A$$