

# Improved bounds for zeros of the chromatic polynomial on bounded degree graphs

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Based on joint work with Maurizio Moreschi, Viresh Patel and Ayla Stam

# Introduction: The chromatic polynomial

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↖ # cpts of  $(V, F)$

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- For positive integer  $k$ ,  $\chi_G(k)$  equals the number of proper  $k$ -colorings of  $G$ .
- Introduced by Birkhoff in 1912.
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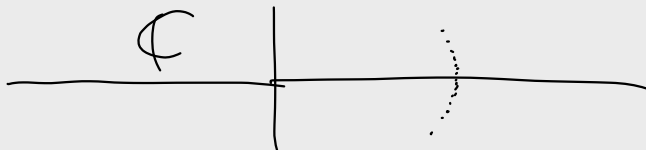
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This talk: location of **complex zeros** of  $\chi_G$  for bounded degree graphs  $G$ .

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- **Algorithms:** Absence of complex zeros implies efficient approximation algorithms for computing evaluations of  $\chi_G$  via Barvinok's interpolation method.

# What is known?

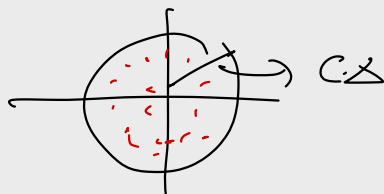


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- The constant  $C$  is at most 6.91 (Fernandéz and Procacci 2008).



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Theorem (Moreschi, Patel, R. Stam, 2021+)

*The constant  $C$  is at most 5.02.*

- Revisit Sokal's approach
  - (a) Express the chromatic polynomial as a multivariate independence polynomial.
  - (b) Use known conditions that guarantee zero-freeness of multivariate independence polynomials.
  - (c) Verify these conditions.

# Overview of the rest of the talk

- Revisit Sokal's approach
  - (a) Express the chromatic polynomial as a multivariate independence polynomial.
  - (b) Use known conditions that guarantee zero-freeness of multivariate independence polynomials.
  - (c) Verify these conditions.
- Improving on Sokal's approach
  - (a') Expressing the chromatic polynomial as a multivariate **block polynomial**.
  - (b') **Prove conditions** that guarantee zero-freeness of multivariate **block polynomials**.
  - (c') Verify these conditions.
- Concluding remarks and questions

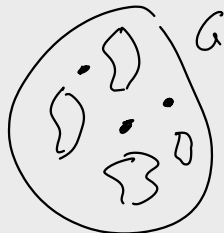
# (a) From chromatic to independence

Look at

$$\hat{\chi}_G(x) := \sum_{F \subseteq E(G)} (-1)^{|F|} x^{|V(G)| - k(F)} = x^{|V(G)|} \chi_G(1/x)$$

$$= \sum_{F \subseteq E} \prod_{\substack{C \subseteq pt \\ \text{of } F}} (-1)^{|C|} x^{|V(G)| - 1}$$

$$= \sum_{\mathcal{P} \text{ partition of } V} \prod_{S \in \mathcal{P}} \sum_{\substack{F \subseteq E(S) \\ (S, F) \text{ conn.}}} (-1)^{|F|} \cdot x^{|S| - 1}$$



notice that we can ignore singletons in  $\mathcal{P}$  !

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Define for  $S \subseteq \mathcal{V}(G)$  such that  $|S| \geq 2$ .

$$w(S) := \sum_{\substack{F \subseteq E(S) \\ (S,F) \text{ connected}}} (-1)^{|F|} x^{|S|-1}$$

and set  $w(S) = 0$  otherwise. Then

$$\hat{\chi}_G(x) = \sum_{k \geq 0} \sum_{\substack{S_1, \dots, S_k \subseteq \mathcal{V}(G) \\ S_i \cap S_j = \emptyset \text{ if } i \neq j}} \prod_{i=1}^k w(S_i).$$

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### (Kotecký-Preiss condition)

Suppose there exists  $a > 0$  such that for all  $v \in V(G)$ :

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$w(S)$   
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### (Gruber-Kunz condition)

Suppose there exists  $a > 0$  such that for all  $v \in V(G)$ :

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→ 6.91 bound.

$$e^a - 1 = a + \frac{a^2}{2} + \dots$$

## (c) Verifying the condition(s) I

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Lemma

→ " $T(1,0) \leq T(1,1)$ "

$$\begin{aligned} |w(S)| &= \left| \sum_{\substack{F \subseteq E(S) \\ (S,F) \text{ connected}}} (-1)^{|F|} x^{|S|-1} \right| \\ &\leq \# \text{spanning trees on } S \cdot |x|^{|S|-1}. \end{aligned}$$

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$$\sum_{k \geq 2} \sum_{\substack{T \text{ tree rooted at } v \\ |V(T)|=k}} |x|^{k-1} e^{ak}.$$

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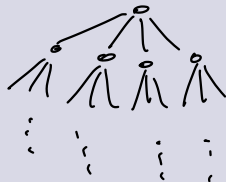
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- $t_k(T_\Delta) \leq (e\Delta)^{k-1}$ .

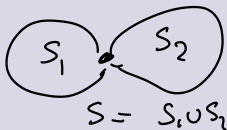
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**Observation:**  $w$  is multiplicative over the blocks of  $G[S]$ .



$$x^{|S|-1} = x^{|S_1|-1} \cdot x^{|S_2|-1}$$

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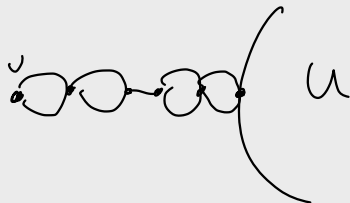
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### Theorem (Moreschi, Patel, R. Stam, 2021+)

Suppose there exists a  $a > 0$  such that for all  $v \in V(G)$  and connected sets  $U \subseteq V(G) \setminus \{v\}$ :

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## Concluding remarks and question I

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- Gordon Royle has conjectured that  $K_{\Delta,\Delta}$  is the extremal graph.
- What is the optimal constant  $C$ ?

- As the girth  $g \rightarrow \infty$  the constant  $C = C(g)$  tends to  $1 + e \cong 3.72$ .



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- Plan to look at applications to the partition function of the Potts model.
- Block polynomials can be extended to matroids and a similar zero-free result can be proved in that setting. (Joint with Vincent Schmeits)

**Thank you for your attention!**