

$f: \mathbb{R}^n \rightarrow \mathbb{R}$  smooth,  $x \in \mathbb{R}^n$

$$Df_x = (\partial_1 f, \dots, \partial_n f) \in T^*(\mathbb{R}^n)$$

$$D^2 f_x = \begin{pmatrix} \partial_1^2 f & \partial_1 \partial_2 f & \dots & \partial_1 \partial_n f \\ \dots & \dots & \dots & \dots \\ \partial_2 \partial_1 f & \partial_2^2 f & \dots & \partial_2 \partial_n f \\ \dots & \dots & \dots & \dots \\ \partial_n \partial_1 f & \dots & \dots & \partial_n^2 f \end{pmatrix} : \mathbb{R}^n \otimes \mathbb{R}^n \rightarrow \mathbb{R}$$

Let  $x \in \mathbb{R}^n$  be a critical pt, i.e.  $Df(x) = 0$

- $\text{rank}(x) = \text{rank}(D^2 f(x))$
  - $\text{index}(x) = \# \text{negative eigenvalues of } D^2 f(x)$
- $x$  is **nondegenerate** if it has full rank and **degenerate** if not.

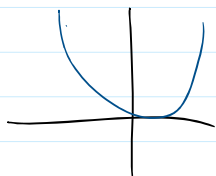
**Morse Lemma**  $x \in \mathbb{R}^n$  nondegenerate critical pt of  $f: \mathbb{R}^n \rightarrow \mathbb{R}$  (w/  $\text{Loc } f(x) = 0, x = 0$ )

Then  $\exists \phi: U \rightarrow \mathbb{R}^n$  st  $f \circ \phi: U \rightarrow \mathbb{R}$  is of the form

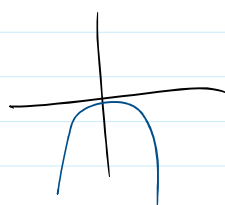
$$f(\phi(x)) = x_1^2 + \dots + x_{\text{index}}^2 - x_{\text{index}+1}^2 - \dots - x_n^2$$

Hence locally a critical point of  $f: \mathbb{R}^n \rightarrow \mathbb{R}$  is equivalent to one of those  $2^n$  possibilities.  $n \geq 1$

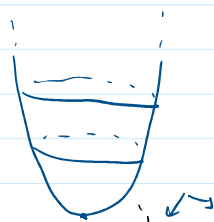
$n=1$   $f(x) = x^2$



or  $f(x) = -x^2$



$n=2$   $f(x) = x^2 + y^2$



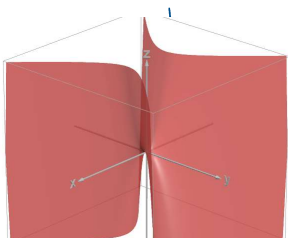
or  $f(x) = -x^2 - y^2$



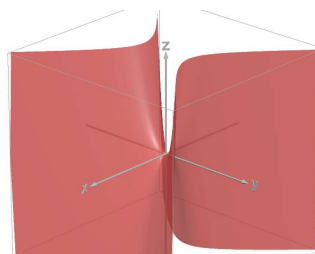
Note some level curves:  $z = 10$   $\bigcirc$   $\downarrow_x \rightarrow y$   
 $z = -10$   $\emptyset$

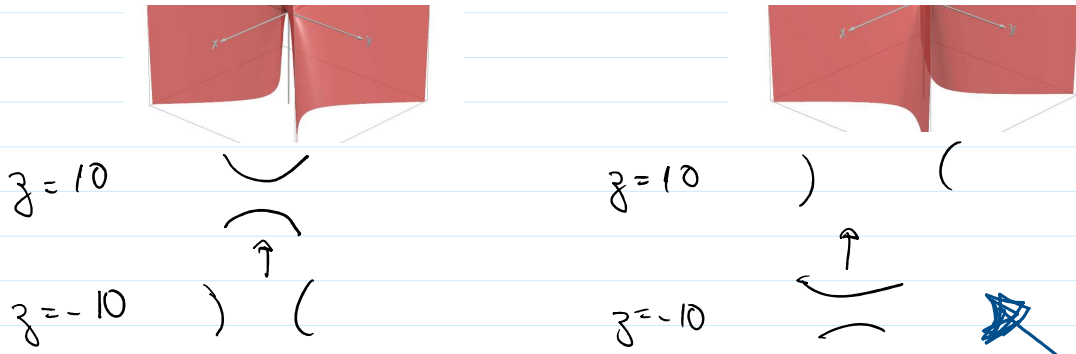
$z = 10$   $\emptyset$   
 $z = -10$   $\bigcirc$

$f(x) = x^2 - y^2$



$f(x) = x^2 + y^2$



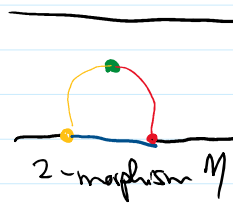


This is starting to look like an equivalence in a  $(\infty, \infty)$ -category.

object

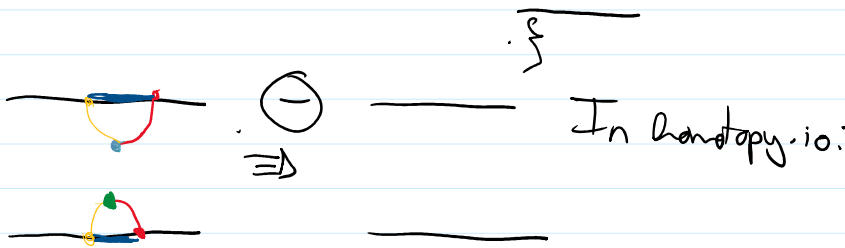


invertible:  $\beta\gamma \cong 1_x$

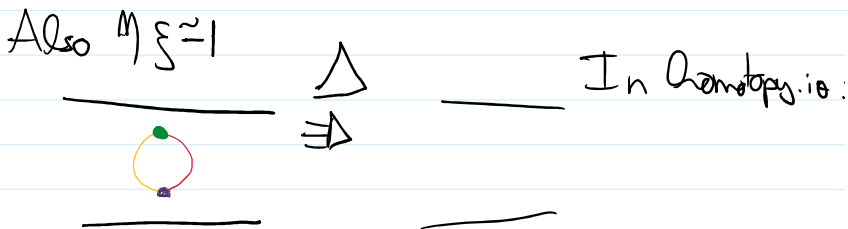


etc

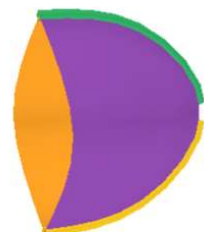
But  $\eta$  is invertible too, i.e.  $\exists \xi$  st  $\xi \eta \cong 1_x$ .



In homotopy.io:



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So there seems to be a correspondence between

normal forms of Morse functions in dim  $S^n$



n-morphisms appearing in an equivalence (non coherent)

Let's see if this continues. In the next step we would recall that  $\theta$  and  $\Delta$  are invertible, let  $\theta^{-1}$  and  $\Delta^{-1}$  be the inverses (I'm tired of making up letters)

In homotopy.io,  $\theta^{-1}/\Delta^{-1}$  look the same as  $\theta/\Delta$  but w/ reflection

e.g.  $\theta^{-1} =$

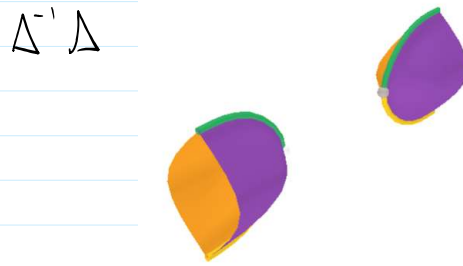
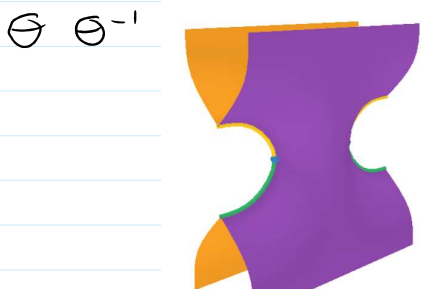
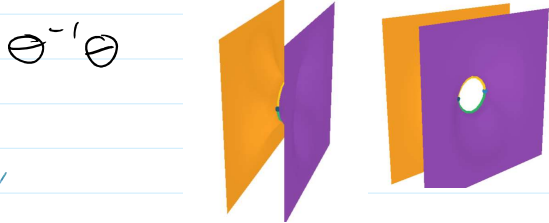


$\Delta^{-1}$





The compositions look like this:

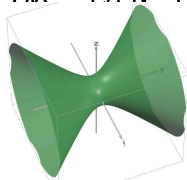


Now I will leave the  $\pm 10$  slices of 3D Morse functions

$$\pm x^2 \pm y^2 \pm z^2 = \pm 10$$

and move on to a dramatic effect

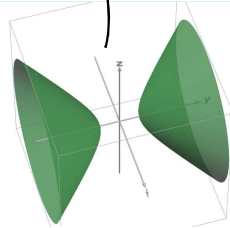
$z - y^2 + z^2 = 10$



$x^2 + y^2 + z^2 = 10$

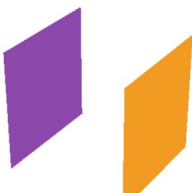


$x^2 - y^2 + z^2 = -10$



$x^2 + y^2 + z^2 = -10$



The other two will look similar but rotated. But if I draw a cap in certain directions, I might have to interpret it as a sheet, like in the target of  $\Theta\Theta^{-1} \Rightarrow$  

Does this pattern extend?

### 13 Does this pattern extend?

- Let  $f: \mathbb{R}^{n+1} \rightarrow \mathbb{R}$  be a Morse function, and  $x \in \mathbb{R}$  a regular value.  
Can we interpret the "manifold"  $f^{-1}(x) \subseteq \mathbb{R}^{n+1}$  in an  $n$ -category?

- Let  $f: \mathbb{R}^{n+1} \rightarrow \mathbb{R}$  be a Morse function, and all  $x \in [0, 1]$  be regular values.  
Can we interpret  $f^{-1}([0, 1])$  as in a  $(n+1)$ -cat, as a morphism  $f^{-1}(0) \rightarrow f^{-1}(1)$ ?

## 2 DEGENERATE CRITICAL PTS

**Thm** (Splitting lemma) Let  $x \in \mathbb{R}^n$  be a degenerate critical pt of  $f: \mathbb{R}^n \rightarrow \mathbb{R}$ , with index  $p$ . Then locally: in the same sense as the Morse lemma

$$f = \underbrace{x_1^2 + \dots + x_p^2 - x_{p+1}^2 - \dots - x_m^2}_{\substack{m \text{ variables} \\ \text{"non-degenerate"} \\ \text{Morse part}}} + \underbrace{g(x_{m+1}, \dots, x_n)}_{\substack{n-m \text{ variables} \\ \text{"degenerate part"}}}$$

where  $\text{rank}(\Delta^2 g(x)) = 0$   
"fully degenerate"

$\Rightarrow$  to understand degeneracies, it suffices to understand functions with zero Hessian

e.g.  $x^3: \mathbb{R} \rightarrow \mathbb{R}$  is degenerate at 0

If we wiggle:  $x^3 + ax^2 + bx + c$ ,  $a, b, c$  small, then we can cast this in  $x^3 + ux$  form.

$u < 0$

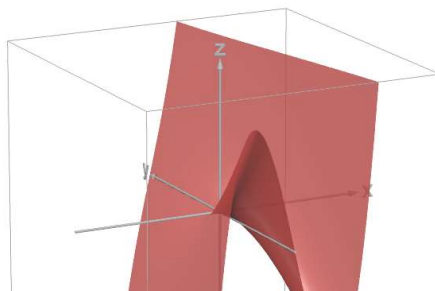
$u = 0$

$u > 0$



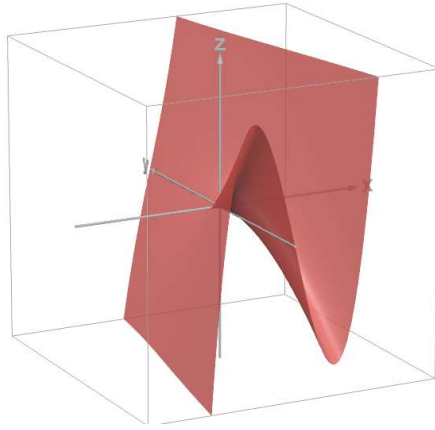
We can graph  $z(x, y) = x^3 + y^2$

This is clearly a + triangle equation. Our goal is to understand what is going on.



We can graph  $z(x,y) = x^3 + yx$

This is clearly a triangle equation. Our goal is to understand what is going on.



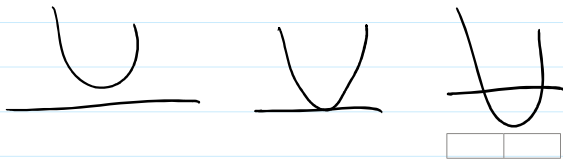
Another thing we can ask is the critical locus  $\frac{d}{dx}(x^3+ux) = 0 \Leftrightarrow 3x^2+u = 0$

$$u < 0 \quad u = 0 \quad u > 0$$

$$\emptyset \quad -1/3 \quad \sqrt{u/3}$$

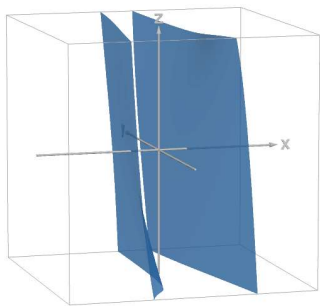
or if  $u=y$  is a variable

$$u < 0 \quad u = 0 \quad u > 0$$

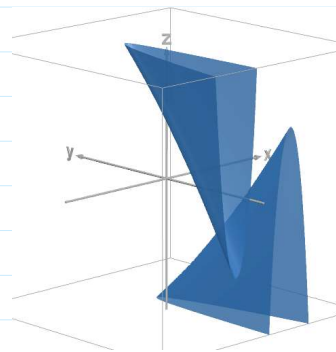


Another one  $x^4: \mathbb{R} \rightarrow \mathbb{R}$ . If we wiggle  $x^4 + ax^3 + bx^2 + cx + d \dots$

Anyway focus on  $x^4 + ux^2 + vx$ . We can't draw this in 3d, but we can get level surfaces



$v > 0$



$v < 0$

Not very enlightening. Again more interesting is the 0-locus of  $\frac{d}{dx}(x^4 + ux^2 + vx)$ .

In 3-d it's again the cusp  $4x^3 + 2ux + v = 0$

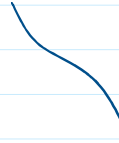
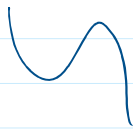
Which of these curves is the trinomial equation?

Q Which of these cusps is the triangle equation?

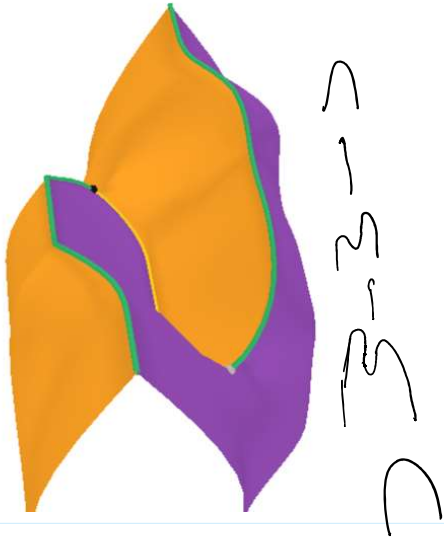
$u < 0$

$u = 0$

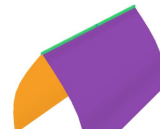
$u > 0$



The small tail looks like this:



The equation is a 4-morphism from here to,



Q How does  $x^5 + ux^3 + vx^2 + wx$  gives rise to this stuff?